Combining Error-Driven Pruning and Classification for Partial Parsing

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Abstract

We present a new approach to partial parsing of natural language texts that relies on machine learning methods. The approach combines corpus-based grammar induction with a very simple pattern-matching algorithm and an optional constituent verification step. The grammar induction algorithm acquires a set of rules for each level of linguistic analysis using a new technique for error-driven pruning of treebank grammars. The constituent verification step employs standard inductive learning techniques as an additional precision-enhancing device. We evaluate the approach on four data sets and find that performance is very good (93% precision and recall) for applications that require or prefer fairly simple constituent bracketing. As the complexity of the partial parsing task increases, however, our approach lags the performance of competing approaches. We explain these differences in terms of the knowledge sources employed by each method and describe a number of features that make the approach attractive for large-scale, practical NLP applications.

1 Introduction

Partial natural language parsers provide a shallow analysis of the syntactic relationships that exist in a sentence. Figure 1, for example, compares one possible partial parse of a sentence with its full syntactic analysis as provided in the Penn Treebank Wall Street Journal (WSJ) corpus (Marcus *et al.*, 1993). Partial parsers produce a fairly flat parse tree in comparison to their full-parse counterparts, omitting some levels of linguistic analsysis or leaving them for subsequent modules of the natural language processing (NLP) system. Nevertheless, the linguistic relationships that can be identified by partial parsers are rich enough to support a number of large-scale natural language processing applications including information extraction, phrase identification in information retrieval, named entity identification, and a variety of text-mining operations. In addition, partial parsers are typically very fast when compared to full parsers.

For the most part, shallow parsers (e.g., Abney (1996), Hobbs et al. (1997)) rely on finite-state pattern-matching techniques to recognize all syntactic and semantic entities in a sentence (Roche, E. and Schabes, Y., 1997). Parsing proceeds in stages: Each stage can be viewed as a finite-state transducer that regroups or relabels the tokens or constituents produced in one or more preceding levels. The initial stages perform relatively simple tasks including tokenization, part-of-speech tagging, and simple noun phrase identification; all linguistic relationships that require higher-level attachment decisions are identified in subsequent stages and rely on output from earlier levels.

The patterns, or grammars, that drive each stage of processing traditionally have been designed by hand such as the lexicon in Voutilainen's NPTool(1993) or the grammar in Bourigault (1992). Also, it has been difficult to directly compare partial parsing techniques since most were evaluated by hand on a small test set. Recent work, however, has attempted the automatic acquisition of partial parsers and their evaluation on a large test corpus annotated with correct parses by an impartial third party. Ramshaw & Marcus (Ramshaw and Marcus, 1998), for example, applied transformation-based learning (Brill, 1995) to the problem of noun phrase chunking, a basic step

Full parse:

(S (NP-SBJ South Korea)
(VP registered
(NP (NP a trade deficit) (PP of (NP $101 billion)))
(PP-TMP in (NP October)) ,
(S-ADV (VP reflecting (NP (NP the country) 's economic sluggishness)))) .)

Partial parse:
[$_{\text{Subject}}$ [$_{\text{NP}}$ South Korea]] [$_{\text{V}}$ registered] [$_{\text{Object}}$ [$_{\text{NP}}$ a trade deficit]] of [$_{\text{NP}}$ $101 billion] in [$_{\text{NP}}$ October], [$_{\text{V}}$ reflecting] [$_{\text{NP}}$ the country]'s [$_{\text{Object}}$ [$_{\text{NP}}$ economic sluggishness]].

Figure 1: Comparison of a Partial vs. a Full Syntactic Parse for the sentence: *South Korea registered a trade deficit of $101 billion in October, reflecting the country's economic sluggishness.* The partial parse marks the subject, verb, direct object, and noun phrase information only.

for most partial parsers. Their noun phrase bracketer learns a set of transformation rules. Each transformation locally updates the noun phrase bracketing associated with a single word based on nearby features, such as neighboring words, part-of-speech tags, and bracket boundaries. After the training phase, the learned transformations are applied in order to each new text to identify base NPs in a novel text. Argamon *et al.*(1998) developed a variation of memory-based learning (Stanfill and Waltz, 1986) for two partial parsing tasks — base noun phrase identification and verb-object recognition. During training, their MBSL algorithm saves the entire raw training corpus, which has been annotated with parts of speech, and noun phrase and verb-object brackets. Generalization of the implicit partial parsing rules in the training corpus occurs at application time — MBSL searches the novel text for tag sequences or combinations of tag subsequences (tiles) that occurred during training in a similar context.

This paper presents a new approach to partial parsing that relies on machine learning methods. The approach is implemented in a shallow parser called Empire[1] that combines corpus-based grammar induction with a very simple pattern-matching algorithm and an optional constituent verification step. The grammar induction algorithm acquires a set of rules for each level of linguistic analysis using a new technique for error-driven pruning of treebank grammars. The constituent verification step employs standard inductive learning techniques as an additional precision-enhancing device. The current work extend previously published work by applying Empire to verb-object identification and the addition of the constituent verification step. Also in this paper we have included evaluations on the same data sets as competing approaches in order to provide direct comparisons.

We evaluate our approach on four data sets that comprise two partial parsing tasks — base noun phrase identification and verb-object identification. Quantitative comparisons between approaches are complicated by varying definitions of the parsing tasks and also by evaluations performed on differing corpora. We have tried to closely duplicate both the relationship definitions and the test data of previous evaluations of the transformation-based and memory-based approaches and present these direct comparisons in addition to our full evaluation on larger data sets. We find that the performance of our treebank approach is very good (93% precision and recall) for applications that require or prefer fairly simple constituent bracketing. As the complexity of the partial parsing task increases, however, the treebank approach lags the performance of competing methods. We explain these differences in terms of the knowledge sources employed by each method. Nevertheless, our approach has a number of features that make it attractive for large-scale, practical NLP applications:

- both the grammar induction algorithm and the pattern-matching parser are exceedingly simple;
- the parser is very fast operating in time linear in the length of the text when the constituent verification phase is not used;
- the learned grammars can be easily modified for use with corpora that differ from the training texts;
- the approach is very flexible in that it allows system developers to choose a performance metric

[1] The name refers to our focus on empirical methods for development of the system.

(e.g., precision, recall, F-measure) with which to tune the grammar that best supports the goals of the larger NLP application.

Finally, we believe that the approach may be general enough to tackle other natural language learning tasks including the acquisition of patterns for information extraction and for higher-level text-mining operations. It might also be applied to tasks outside of natural language processing applications including learning simple languages for agent communication or in grammar-based biology problems. The next section provides a definition for and examples of the two partial parsing tasks. Sections 3 and 4 describe and evaluate the basic grammar induction approach. Section 5 presents the optional constituent verification step. Section 6 evaluates the entire approach. We compare Empire with related work in Section 7.

2 Base Noun Phrase and Verb-Object Identification

We focus on two shallow parsing tasks: base noun phrase identification and verb-object identification. In this work we define a *base noun phrase (base NP)* to be a simple, nonrecursive noun phrase — a noun phrase that does not contain other noun phrase descendants. In the partial parse of Figure 1, for example, *a trade deficit of $101 billion* and *the country's economic sluggishness* are too complex to be base NPs; instead, they contain four simpler noun phrases, each of which is considered a base NP: *a trade deficit*, *$101 billion*, *the country*, and *economic sluggishness*. For base NP identification then, the goal of Empire is to take a sentence as input and produce a version of the sentence in which all base NPs are bracketed.

Verb-object recognition amounts to identifying the main verb and direct object head of each clause in a sentence. Verb-object identification is performed on a tag sequence in which the base noun phrases have already been identified. Here the goal for Empire is to bracket potential verb-object constituents starting with the main verb token and ending with the base noun phrase that contains the object token: *South Korea* [$_{\text{VO}}$ *registered a trade deficit*] *of $101 billion in October,* [$_{\text{VO}}$ *reflecting the country's economic sluggishness*]. Following the application of the parser, a small set of rules is applied to the verb-object "constituent" bracket to extract the verb and object. The above verb-object bracketing, for example, would produce the following output where *registered/deficit* and *reflecting/sluggishness* are the verb-object pairs: *South Korea* [$_{\text{VO}}$ [$_{\text{V}}$ *registered*] *a trade* [$_{\text{O}}$ *deficit*]] *of $101 billion in October,* [$_{\text{VO}}$ [$_{\text{V}}$ *reflecting*] *the country's economic* [$_{\text{O}}$ *sluggishness*]].

3 Grammar Induction

Figure 2 depicts Empire's approach to shallow parsing using the base noun phrase (base NP) relationship as a running example. To learn to identify a particular linguistic relationship, REL, Empire requires a corpus that has been annotated with brackets indicating that relationship. More specifically, we assume that the training corpus is a sequence of elements (either words or constituents) $e_1, e_2, \ldots$, along with a set of annotations or brackets $b_{(i_1,j_1)}, b_{(i_2,j_2)}, \ldots$, where $b_{(i,j)}$ indicates that elements i through j represent an instance of REL: [$_{\text{REL}}$ $e_i, \ldots, e_j$].

The goal of the training phase is to create a grammar for REL based on the training corpus:

1. *Run all required lower levels of Empire to assign a tag, t_i, to each element e_i in the training corpus.* For the base NP relationship, this amounts to assigning a part-of-speech tag to every token in the corpus. Verb-object recognition, on the other hand, requires both part-of-speech and base NP information: Each element in the training corpus is assigned either an NP label (for sentence elements recognized as base NPs) or a part-of-speech tag (for all tokens outside of base NPs).
2. *Extract from each* REL $b_{(i,j)}$ *in the training corpus its sequence of tags $t_i, \ldots, t_j$ to form a grammar.* In Figure 2, for example, the first base NP in the corpus, [$_{\text{NP}}$ *it*], produces the tag-sequence rule ⟨PRP⟩; verb-object rules contain both part-of-speech and NP tags.
3. *Remove duplicate rules from the grammar.*

The resulting grammar can then be used to identify the linguistic relationship in a novel text in the application phase.

1. *Run all required lower levels of the parser* to assign tags $t_1, t_2, \ldots$ to the elements $e_1, e_2, \ldots$ in the input text.
2. *Proceed through the tagged text from left to right*, at each point matching the rules against the remaining tags $t_i, t_{i+1}, \ldots$ in the text.
3. If there are multiple rules that match beginning at t_i, *use the longest matching rule R.* Continue matching at $t_{i+|R|}$.

With the rules stored in an appropriate data structure, this greedy "parsing" of constituents is very fast. Unfortunately, grammars extracted in this fashion pick up

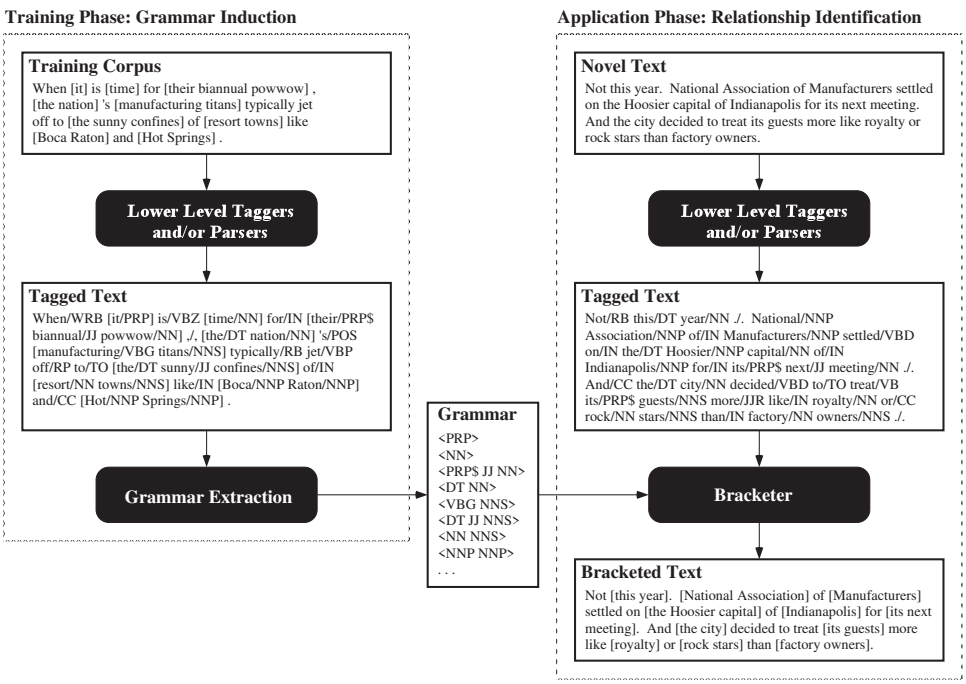

Figure 2: Shallow Parsing in Empire. The base noun phrase relationship is used as an example throughout.

many "bad" rules due to noise in the training data (including annotation errors, part-of-speech tagging errors, and genuine ambiguities). As a result, it has become common practice to assign probabilities to the rules based on their frequency of occurrence and then use a probabilistic parser to apply the grammar to unseen text (Charniak, 1996). Our grammar induction framework employs a much simpler solution: we include a pruning phase to eliminate bad rules from the grammar and retain the longest-match heuristic.

3.1 Error-Driven Pruning

Empire's grammar pruning procedure is shown in Figure 3. First, we divide the training corpus into two parts: an extraction corpus and a pruning corpus. The initial grammar for the linguistic relationship is derived from the extraction corpus as described above. Next, the pruning corpus is used to evaluate the grammar and produce a ranking of the rules in terms of their utility in identifying the linguistic relationship. More specifically, we use the rule set and the longest match heuristic to find all instances of the relationship in the pruning corpus. Performance of the rule set is measured in terms of labeled precision (P):

$$P = \frac{\#\text{ of correct proposed RELs}}{\#\text{ of proposed RELs}}$$

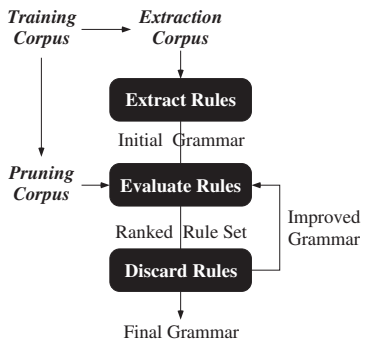

Figure 3: Error-Driven Pruning of Treebank Grammars

We then assign to each rule a score that denotes the "net benefit" achieved by using the rule during parsing of the pruning corpus. The benefit of rule r is given by $B_r = C_r - E_r$ where C_r is the number of RELs correctly identified by r, and E_r is the number of precision errors for which r is responsible. Alternatively, a frequency-based benefit measure can be employed: We scale B_r by the rule frequency to prune low-frequency low-precision rules before high-frequency rules with the same precision.

The benefit scores from evaluation on the pruning corpus are used to discard the worst rules from the grammar. In the experiments below, we use two types of pruning. Each iteration of *threshold pruning* discards rules whose score is less than a predefined threshold T; it halts when all rules have a score above T. *Incremental pruning* discards the N worst rules in each iteration and then selects the grammar that yields either the maximum precision or the maximum recall on the pruning data, depending on the goals of the NLP application. For a more detailed description of error-driven pruning, see Cardie & Pierce (1998).

4 Evaluation of Error-Driven Pruning

Data Sets. In spite of the definitions in Section 2, there can be substantial differences in how base noun phrase and verb-object relationships can be encoded in any corpus. As a result, we created two data sets for each linguistic relationship, one data set that reflects a simple implementation of each relationship, and one that incorporates additional linguistic complexities. All are derived from the Penn Treebank WSJ. In the Complex Base NP data set, each base NP corresponds to a non-recursive noun phrase in the Treebank parse. In particular, this data set is meant to duplicate the data used in Ramshaw & Marcus (1998) except for our handling of possessives. The Simple Base NP data set further simplifies Treebank base NPs that contain: (1) nominal conjunctions, (2) prepositions, (3) possessives, or (4) leading and trailing adverbs and verbs.

In the Complex Verb-Object data set, there is a verb-object pair for every verb and direct object component in the Treebank parse. In particular, if the object is a conjunctive phrase, the data set encodes separate verb-object pairs for each component of the conjunction. The Simple Verb-Object data set is meant to duplicate the Argamon et al. (1998) data and: (1) simplifies direct objects that are conjunctions or appositives, (2) simplifies conjunctive verbs, (3) simplifies ditransitives, and (4) omits objects marked by verb particles and copular verbs. By evaluating on both data sets for each linguistic task, we can explore the effect of linguistic relationship complexity on parser accuracy.

Methodology. All experiments are performed on the 25 sections of the WSJ portion of the Penn Treebank II corpus. Performance is measured in terms of precision (P) and recall (R):

$$P = \frac{\text{\# of correct proposed RELs}}{\text{\# of proposed RELs}}$$

$$R = \frac{\text{\# of correct proposed RELs}}{\text{\# of RELs in the annotated text}}$$

All results are averages using five-fold cross-validation at the document level; the same fold divisions are employed across all experiments. All experiments use the original (Treebank) segmentation for sentences and tokens.

For both base NP and verb-object recognition, part-of-speech tags were generated using Mitre's version of the Brill tagger (Brill, 1995). For verb-object identification, Empire itself identifies the base noun phrases. The threshold pruning experiments use $T = 1$; the incremental pruning experiments use $N = 3$ for verb-objects and $N = 10$ for base NPs. The verb-object experiments use recall-based pruning; incremental pruning for verb-objects uses the frequency-based benefit measure and threshold pruning employs the standard benefit measure.[2]

Results. Table 1 summarizes the performance of the Empire parser on both the Complex and Simple corpora for each linguistic relationship using incremental and threshold pruning. The first row of results for each data set shows the performance of the initial, unpruned grammars. The next two rows show the performance of the automatically pruned rule sets using the longest-match heuristic. As expected, the initial rule set performs quite poorly. For base NPs, both automated approaches provide significant increases in recall and precision. Note also the relatively small difference between the threshold and incremental pruning methods. For some applications, the minor drop in performance for threshold pruning may be worth the decrease in training time. For the remainder of the paper, we will use threshold pruning for base NP experiments.

For verb-object identification, the pruning results are less consistent. Both methods substantially increase precision; the finer-grained incremental pruning also increases recall. Threshold pruning, however, provides mixed results. For verb-object recognition, there are a few high-frequency, low-precision rules and the coarser threshold pruning is not consistent in its handling of them: results vary widely on a per-fold basis depending on whether these rules survive pruning. For the remainder of the paper, we will use incremental pruning for verb-object experiments.

Table 1 also clearly indicates the effects of linguistic

[2] The frequency-based benefit measure and threshold pruning with $T = 1$ are not compatible since all rule benefits would be below 1.

Table 1: Evaluation of Error-Driven Pruning for Base NP Identification and Verb-Object Recognition (P = precision; R = recall)

Linguistic Relationship	Simple Corpus	Complex Corpus
Base NP		
unpruned	.221P/.457R	.183P/.353R
threshold pruning	.920P/.932R	.870P/.896R
incremental pruning	.932P/.934R	.893P/.905R
Verb-Object		
unpruned	.199P/.863R	.209P/.681R
threshold pruning	.661P/.612R	.650P/.719R
incremental pruning	.569P/.895R	.619P/.789R

relationship complexity. As expected, results for the Complex base NP data set are much lower than those for the Simple corpus (-5%P/-3.6%R). Since the two data sets share about 91% of their NPs, this performance drop is caused by errors in the remaining 9%, of which possessives account for 3.6%, conjunctions, 2.3%, and leading and trailing adverbs and verbs, 2.4%. Ambiguities introduced by allowing conjunctions and adverbs/verbs appear to be more difficult than those introduced by possessives.

Although the verb-object task is more difficult than the base NP task it subsumes, the results for the Simple and Complex verb-object data sets appear to contradict the expected trend — there is a large drop in recall (-10.6%) for the Complex verb-objects, but precision increases by 5%. One reason for this is that verb-object pairs with copular verbs are identified in both experiments but are considered errors for the simple data set. In addition, the complex data set yields many more rules (typically longer rules to handle conjunctions and appositives) that are low-frequency, but high-precision. Because of Empire's longest match heuristic, these long rules prevent Empire from identifying some erroneous verb-objects that would be selected by shorter, less accurate rules.

Table 2: Direct Comparison to Previous Work (P = precision; R = recall)

WSJ Section 20	
Ramshaw & Marcus	.931P/.935R
Argamon, et.al.	.916P/.916R
Empire(incremental pruning)	.889P/.900R
WSJ Section 00	
Argamon, et.al.	.771P/.898R
Empire(incremental pruning)	.584P/.903R

For two of the four data sets, our results can be compared to those obtained in evaluations of other approaches to partial parsing on the Penn Treebank. We ran an evaluation using as test data, the same sections of the Treebank as previous experimenters; the results are in Table 2. Our results on Complex Base NP corpus we lag the best published results (Ramshaw and Marcus, 1998) by 3-4%. Note, however, that we make no use of context or lexical (word-level) information; the best results on this data set were obtained using transformation-based learning, which employs both. By controlling for lexicalization in transformation-based learning, Empire performs more comparably (-1.2%P/-0.2%R). Empire also falls short of the best results to date on the Simple Verb-Object corpus. Argamon et al. (1998), however, make use of context to determine verb-object brackets. We address this issue in the next section.

5 Constituent Verification

Although the role of context and lexicalization has not been fully explored for shallow parsing, both knowledge sources are known to improve the performance of a number of language learning tasks. The accuracy of Empire's shallow parsing algorithm is therefore likely to improve if context and lexical information can be suitably exploited. This section describes one method for extending Empire to incorporate context and lexicalization without sacrificing the overall simplicity of the shallow parsing approach. We use standard inductive learning techniques in a *constituent verification* step to evaluate the correctness of each proposed linguistic relationship: Those deemed correct will remain in the bracketed output of the shallow parser; those deemed incorrect are discarded. This post-processing stage is clearly a precision-enhancing device: For cas-

Table 3: Sample Verb-Object Constituent Verification Case for *Many traders predict the U.S. currency will remain stuck in the near term.*

Sentence Elements	Attribute	Value
	tag-prev2	nil
[$_{NP}$ Many traders]	tag-prev1	NP
[$_{VO}$ [$_{V}$ predict] [$_{NP}$ the U.S. [$_{O}$ currency]]]	verb-tag	VB
	object-tag	NN
will	tag-fol1	MD
remain	tag-fol2	VB
stuck in ...		
	Class:	**bad**

caded partial parsers, it is important that each level of analysis be as accurate at possible. In addition, some NLP applications will benefit more from output that has high precision and reasonable recall than the converse, e.g., identification of linguistic relationships for information retrieval.

We have examined three machine learning algorithms for constituent verification: decision tree induction using C4.5 (Quinlan, 1992), an unweighted k-nearest neighbor (K-NN) classifier (Aha *et al.*, 1991), and a K-NN classifier that uses the value-difference metric as the similarity measure (Stanfill and Waltz, 1986). The goal of the training phase is to produce a set of training cases, each of which describes one proposed linguistic relationship and its context. A sample verb-object constituent verification case is shown in Table 3. Each case is a set of six features: the tags for the two elements that precede and that follow the bracket; and tags for the main verb and direct object head. A similar case representation is used for base NPs. Note that the current case representation contains no lexical information — the actual word tokens that comprise the bracket and its context are not included in the cases. It should be clear, however, that lexical information is easy to incorporate — in addition to the tags used in the current representation, cases would also include the token that represents the element. Finally, each training case has a class label ("good" or "bad") indicating the correctness of the proposed relationship.

Training instances are created automatically from the pruning corpus. For the K-NN variations, the value for k is learned automatically during training; during testing, we use a simple majority vote to determine the class label.

Table 4 shows the performance of the three learning algorithms on data sets of 1000 cases derived from each of the linguistic relationship corpora. In terms of relative accuracy, the three learning algorithms perform comparably on each data set.[3] Since the goal for constituent verification is to increase precision, however, we hypothesize that the learning algorithm with best combination of a high accuracy and low false positive rate would provide the greatest improvement in precision for the partial parsing tasks: the K-NN+VDM alternative has this property for the data sets studied here. We also believe that classification for constituent verification can be improved by controlling the characteristics of the training set — the training data for both linguistic tasks is skewed w.r.t. class distribution, and no attempt was made to balance the data sets for the experiments reported here.

6 Combining Pruning and Constituent Verification

Table 5 shows the effect of constituent verification on base NP and verb-object identification. For these experiments, the learning algorithms for constituent verification were trained on 1000 cases derived from the associated pruning corpus. As hypothesized, the increase in precision is greatest for the K-NN+VDM variation. The results also show that constituent verification is a more effective way to integrate context and improve precision for verb-object identification than for base NP identification: For both the Simple and Complex verb-object data sets, more precision was gained than recall lost (+12.0% precision vs. -9 and -6% recall, respectively). Constituent verification provides much smaller precision gains for base NP recog-

[3] Chi-square and two-tailed t tests indicate that K-NN and K-NN+VDM outperform C4.5 ($p = .01; p = .10$) for the Simple Base NP corpus; K-NN+VDM outperforms K-NN and C4.5 ($p = .10$) for the Simple Verb-Object corpus; and K-NN+VDM outperforms K-NN and C4.5 ($p = .10$) for the Complex Verb-Object corpus.

Table 4: Performance of Inductive Learning Algorithms for Constituent Verification. Results are shown in terms of accuracy (% correct) and standard deviation. False positive rates are provided in *italics*.

Data Set	C4.5	K-NN	K-NN + VDM
Simple Base NP	90.5 ± 2.2	91.9 ± 2.6	91.6 ± 1.8
false positive rate	*7.4*	*8.1*	*6.8*
Complex Base NP	86.7 ± 3.3	87.4 ± 2.9	87.0 ± 2.1
false positive rate	*10.3*	*12.3*	*9.0*
Simple Verb-Object	68.6 ± 5.2	68.1 ± 4.7	70.6 ± 4.9
false positive rate	*19.0*	*24.4*	*19.4*
Complex Verb-Object	74.1 ± 2.5	73.7 ± 4.0	75.9 ± 3.1
false positive rate	*15.9*	*22.0*	*15.5*

Table 5: Effect of Constituent Verification (P = precision; R = recall)

Data Set	No Constituent Verification	Constituent Verification Using		
		C4.5	K-NN	K-NN+VDM
Simple Base NP	.920P/.932R	.922P/.925R	.920P/.931R	.928P/.919R
Complex Base NP	.870P/.896R	.879P/.877R	.872P/.893R	.896P/.830R
Simple Verb-Object	.569P/.895R	.678P/.750R	.655P/.796R	.690P/.806R
Complex Verb-Object	.619P/.789R	.727P/.701R	.692P/.758R	.741P/.728R

nition. One explanation is that the skewed training data for constituent verification limited performance. It is also likely that accurate verb-object identification simply requires more context, e.g., to distinguish direct objects from subjects of subordinate clauses as in the examples below.

Table 6 shows the direct comparison of the constituent verification algorithms to previous work using the same Treebank sections as test data. In spite of the addition of context, Empire still falls below the best reported results on the verb-object task: Argamon et al. (1998) assume perfect tags rather than generate them using a part-of-speech tagger, but even after retraining Empire using perfect tags, precision remains 8–9 precision points lower at the same level of recall (83%). Only by assuming both perfect tags and perfect NPs can Empire surpass their results. We would expect to recover some of this discrepancy by replacing the longest-match heuristic with one that chooses the best combination of possible bracketings. For base NP identification, precision gains are modest, leaving Empire still shy of Argamon et al.'s results.

We conclude this section with an example of the constituent verification step in action: Table 7 shows a proposed verb-object pair that is correctly discarded along with the top-ranked retrieved case.

7 Related Work and Conclusions

In the evaluation sections above, we compared Empire to two other machine learning methods that have been applied to partial parsing — Ramshaw & Marcus's transformation-based bracketer and Argamon, *et al.*'s MBSL. In addition to performance differences, the methods also vary in practical ways. For example, the Transformation-Based bracketer requires the most training time, with one pass through the training data for each candidate template for each new transformation. Empire needs only a handful to a few hundred passes for pruning (for threshold and incremental pruning, respectively), while MBSL trains in a single pass over the training data. Regarding runtime speed, the Empire longest-match bracketer is the fastest, using a quick linear time algorithm. The Transformation-Based bracketer can be equally fast, provided that the transformations are precompiled into a single finite-state transducer (Roche and Schabes, 1997). The increase in runtime for constituent verification depends on the learning algorithm used. We believe MBSL to be the slowest bracketer, comparing multiple tiling covers to score each potential NP. In

Table 6: Constituent Verification Comparison to Previous Work (P = precision; R = recall)

Test-Data Algorithm	Base Result	Constituent Verification Using C4.5	K-NN	K-NN+VDM
WSJ Section 20				
Ramshaw & Marcus	.931P/.935R			
Argamon, et.al.	.916P/.916R			
Empire(threshold pruning)	.869P/.895R	.882P/.871R	.877P/.893R	.906P/.801R
Empire(incremental pruning)	.889P/.900R	.894P/.887R	.889P/.900R	.898P/.649R
WSJ Section 00				
Argamon, et.al.	.771P/.898R			
Empire(incremental pruning)	.584P/.903R	.696P/.785R	.659P/.875R	.707P/.826R

Table 7: Sample Verb-Object Constituent Verification Case for *Two Japanese scientists said they discovered an antibody that....*

Test Case Sentence Elements	Attribute	Value	Retrieved Case Value
	tag-prev2	nil	nil
[$_{NP}$ Two Japanese scientists]	tag-prev1	NP	NP
[$_{VO}$ [$_{V}$ said] [$_{NP}$ [$_{O}$ they]]]	verb-tag	VBD	VBD
	object-tag	PRP	PRP
discovered	tag-fol1	VO	VO
[$_{NP}$ an antibody]	tag-fol2	IN	IN
that ...			
			Class: bad

addition, the runtime space burden for MBSL is very large because the memory-base stores the entire training corpus. In contrast, the Transformation-Based and Empire bracketers store only a few thousand transformation or grammar rules at runtime. Finally, Empire's main training product, a grammar, can be modified for new genres of text without retraining – something impossible with MBSL and something that would likely yield unpredictable results with the Transformation-Based bracketer.

In conclusion, we presented a new approach to partial parsing of natural language texts that combines error-driven pruning of treebank grammars and an optional classsification-based constituent verification step. In an evaluation on four data sets, we find that error-driven pruning provides substantial increases in recall and precision over the unpruned rule sets; constituent verification provides additional increases in precision, but works especially well for the harder partial parsing tasks. Furthermore, the approach has a number of attractive features: the grammar induction algorithm and the pattern-matching parser are exceedingly simple; the parser is very fast; the learned grammars can be easily modified for use with corpora that differ from the training texts. In addition, the approach is very flexible in that it allows system developers to choose a performance metric with which to tune the grammar that best supports the goals of the larger NLP application.

Finally, we believe that there are a number of extensions and improvements that could be made to obtain additional performance gains at the possible expense of some added complexity: The naive longest-match heuristic can be replaced by a dynamic programming algorithm that considers all combinations of constituent brackets; machine learning algorithms could be used to correct, rather than discard, erroneous bracketings; lexicalized features can be added to the case representation; the constituent verification phase could be incorporated directly into the pruning algorithm; training data for constituent verification can be better balanced with respect to positive and negative instances.

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