CSE 421/521 - Operating Systems
Fall 2011

LECTURE - V

CPU SCHEDULING - I

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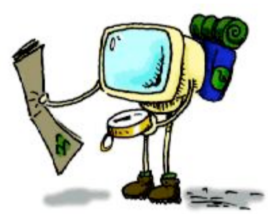
Roadmap

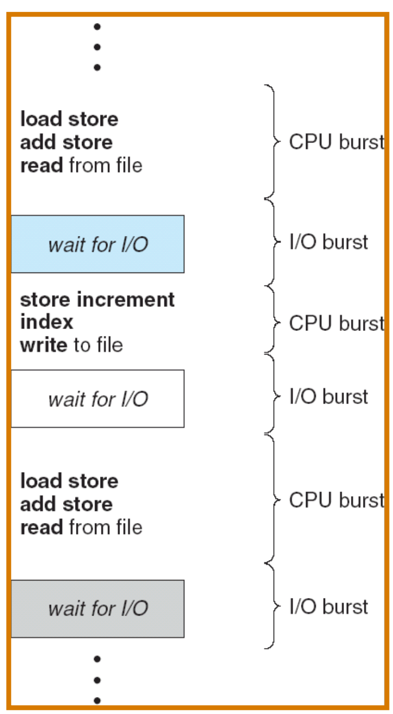
- CPU Scheduling
  - Basic Concepts
  - Scheduling Criteria & Metrics
  - Different Scheduling Algorithms
    - FCFS
    - SJF
    - Priority
    - RR



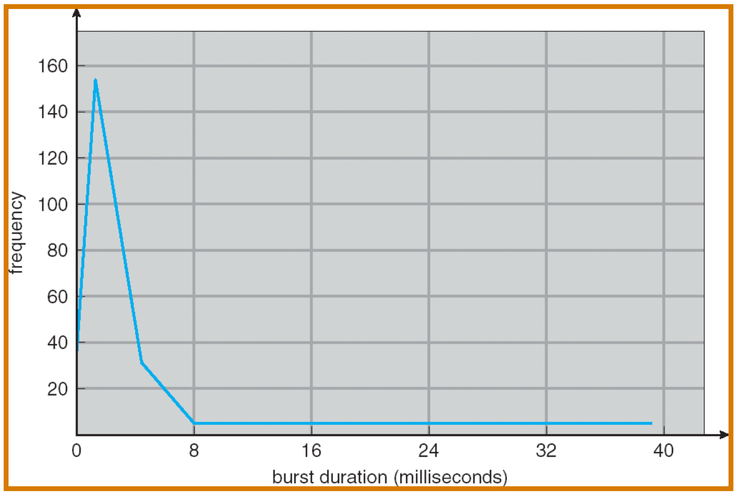
Basic Concepts

- Multiprogramming is needed for efficient CPU utilization
- CPU Scheduling: deciding which processes to execute when
- Process execution begins with a CPU burst, followed by an I/O burst
- CPU–I/O Burst Cycle – Process execution consists of a *cycle* of CPU execution and I/O wait

Alternating Sequence of CPU And I/O Bursts

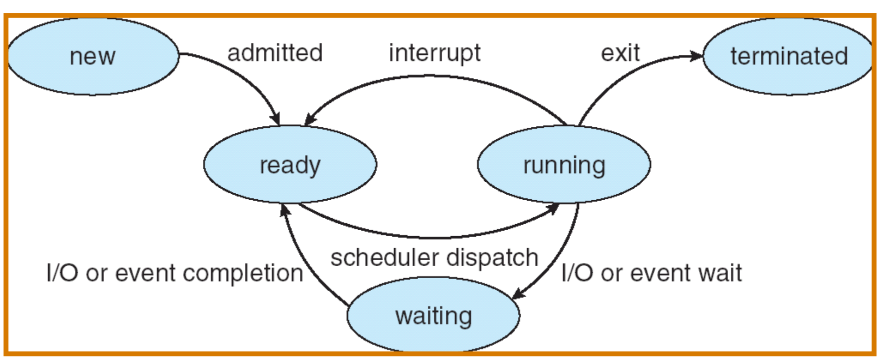


Histogram of CPU-burst Durations



Process State

- As a process executes, it changes *state*
  - **new**: The process is being created
  - **ready**: The process is waiting to be assigned to a process
  - **running**: Instructions are being executed
  - **waiting**: The process is waiting for some event to occur
  - **terminated**: The process has finished execution



CPU Scheduler

- Selects from among the processes in memory that are ready to execute, and allocates the CPU to one of them
  ➔ short-term scheduler
- CPU scheduling decisions may take place when a process:
  1. Switches from running to waiting state
  2. Switches from running to ready state
  3. Switches from waiting to ready
  4. Terminates
  5. A new process arrives
- Scheduling under 1 and 4 is *nonpreemptive/cooperative*
  - Once a process gets the CPU, keeps it until termination/switching to waiting state/release of the CPU
- All other scheduling is *preemptive*
  - Most OS use this
  - Cost associated with access to shared data
  - i.e. time quota expires

Dispatcher

- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler;
  Its function involves:
  - switching context
  - switching to user mode
  - jumping to the proper location in the user program to restart that program
- *Dispatch latency* – time it takes for the dispatcher to stop one process and start another running

Scheduling Criteria

- CPU utilization – keep the CPU as busy as possible --> maximize
- Throughput – # of processes that complete their execution per time unit -->maximize
- Turnaround time – amount of time passed to finish execution of a particular process --> minimize
  - i.e. execution time + waiting time
- Waiting time – total amount of time a process has been waiting in the ready queue -->minimize
- Response time – amount of time it takes from when a request was submitted until the first response is produced, **not** output (for time-sharing environment) -->minimize

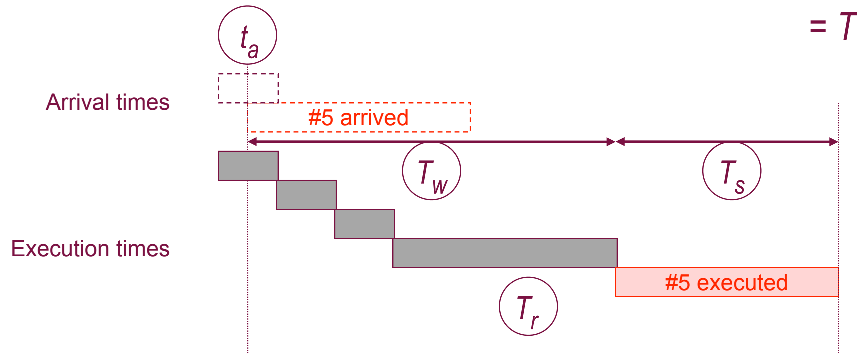
Optimization Criteria

- Maximize CPU utilization
- Maximize throughput
- Minimize turnaround time
- Minimize waiting time
- Minimize response time

Scheduling Metrics

- ## <u>Scheduling metrics</u>
  - ✓ arrival time t_a = time the process became “Ready” (again)
  - ✓ wait time T_w = time spent waiting for the CPU
  - ✓ service time T_s = time spent executing in the CPU
  - ✓ <u>turnaround time</u> T_r = total time spent waiting and executing

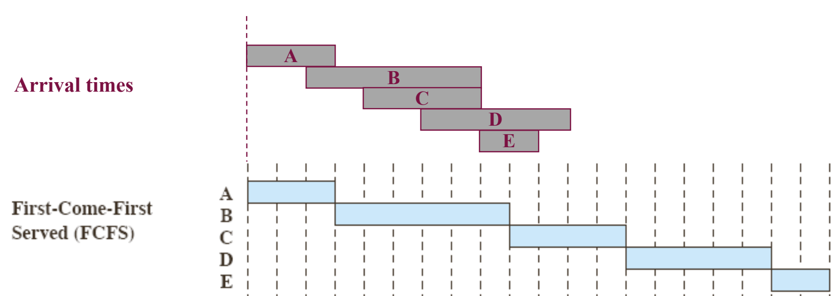
$= T_w + T_s$



$T_r / T_s = 2.5$

First-Come, First-Served (FCFS) Scheduling

- ✓ processes are assigned the CPU in the order they request it
- ✓ when the running process blocks, the first “Ready” is run next
- ✓ when a process gets “Ready”, it is put at the end of the queue



FCFS							
	Finish Time	**A** 3	**B** 9	**C** 13	**D** 18	**E** 20	**Mean**
	Turnaround Time (T_r)	3	7	9	12	12	8.60
	T_r/T_s	1.00	1.17	2.25	2.40	6.00	2.56

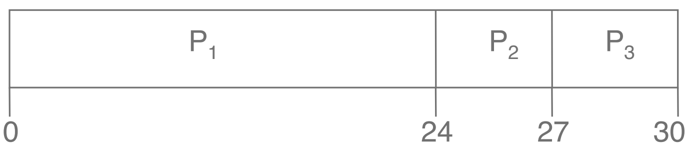
FCFS scheduling policy

Stallings, W. (2004) *Operating Systems: Internals and Design Principles (5th Edition).*

FCFS Scheduling - Example

Process	Burst Time
P_1	24
P_2	3
P_3	3

- Suppose that the processes arrive in the order: P_1 , P_2 , P_3
  The Gantt Chart for the schedule is:



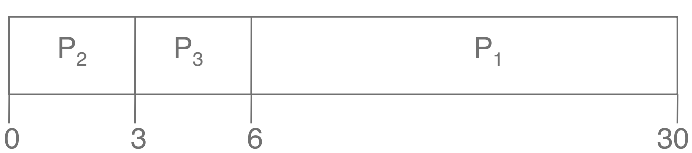
- Waiting time for P_1 = 0; P_2 = 24; P_3 = 27
- Average waiting time: (0 + 24 + 27)/3 = 17

FCFS Scheduling - Example

Suppose that the processes arrive in the order

P_2 , P_3 , P_1

- The Gantt chart for the schedule is:



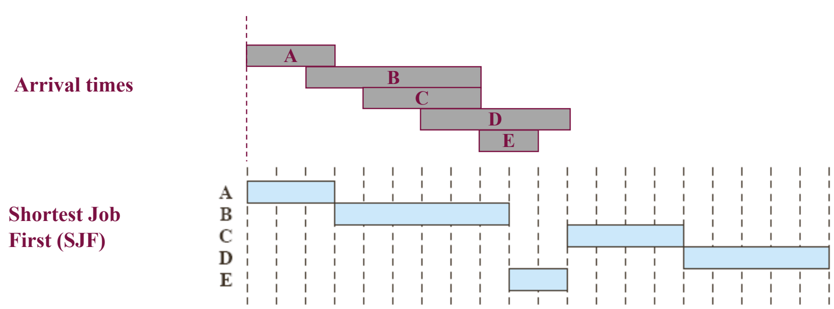
- Waiting time for P_1 = 6; P_2 = 0; P_3 = 3
- Average waiting time: (6 + 0 + 3)/3 = 3
- Much better than previous case
- *Convoy effect* short process behind long process

Shortest-Job-First (SJF) Scheduling

- Associate with each process the length of its next CPU burst. Use these lengths to schedule the process with the shortest time
- Two schemes:
  - nonpreemptive – once CPU given to the process it cannot be preempted until completes its CPU burst
  - preemptive – if a new process arrives with CPU burst length less than remaining time of current executing process, preempt. -->This scheme is know as the Shortest-Remaining-Time-First (SRTF)
- SJF is optimal – gives minimum average waiting time for a given set of processes

Non-Preemptive SJF

- ✓ nonpreemptive, assumes the run times are known in advance
- ✓ among several equally important “Ready” jobs (or CPU bursts), the scheduler picks the one that will finish the earliest



SJF	Finish Time	A 3	B 9	C 15	D 20	E 11	Mean
	Turnaround Time (T_r)	3	7	11	14	3	7.60
	T_r/T_s	1.00	1.17	2.75	2.80	1.50	1.84

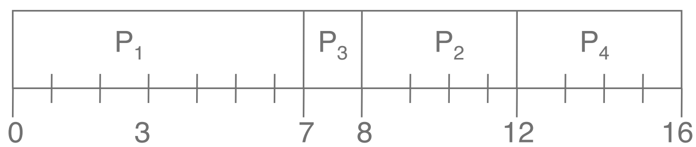
SJF scheduling policy

Stallings, W. (2004) *Operating Systems: Internals and Design Principles (5th Edition).*

Non-Preemptive SJF - Example

Process	Arrival Time	Burst Time
P_1	0.0	7
P_2	2.0	4
P_3	4.0	1
P_4	5.0	4

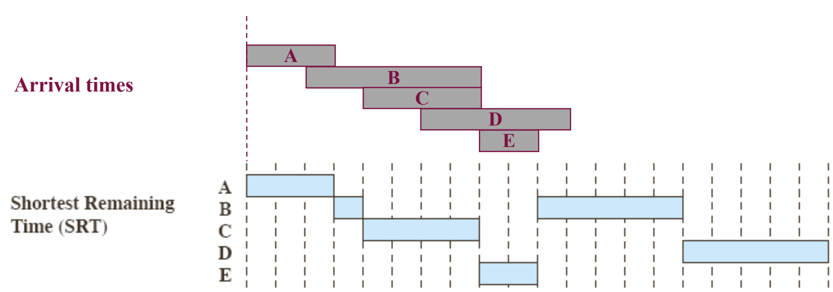
- SJF (non-preemptive) Gantt Chart



- Average waiting time = (0 + 6 + 3 + 7)/4 = 4

Preemptive SJF (SRT)

- <u>Shortest Remaining Time (SRT)</u>
  - ✓ <u>preemptive</u> version of SJF, also assumes known run time
  - ✓ choose the process whose <u>remaining</u> run time is shortest
  - ✓ allows new short jobs to get good service



SRT	Finish Time	A 3	B 15	C 8	D 20	E 10	Mean
	Turnaround Time (T_r)	3	13	4	14	2	7.20
	T_r/T_s	1.00	2.17	1.00	2.80	1.00	1.59

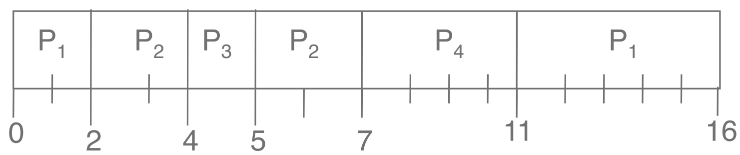
SRT scheduling policy

Stallings, W. (2004) *Operating Systems: Internals and Design Principles (5th Edition).*

Example of Preemptive SJF

Process	Arrival Time	Burst Time
P_1	0.0	7
P_2	2.0	4
P_3	4.0	1
P_4	5.0	4

- SJF (preemptive) Gantt Chart



Priority Scheduling

- A priority number (integer) is associated with each process
- The CPU is allocated to the process with the highest priority (smallest integer ≡ highest priority)
  - Preemptive
  - nonpreemptive
- SJF is a priority scheduling where priority is the predicted next CPU burst time
- Problem ≡ Starvation – low priority processes may never execute
- Solution ≡ Aging – as time progresses increase the priority of the process

Example of Priority

Process	Arrival Time	Burst Time	Priority
P_1	0.0	7	2
P_2	2.0	4	1
P_3	4.0	1	4
P_4	5.0	4	3

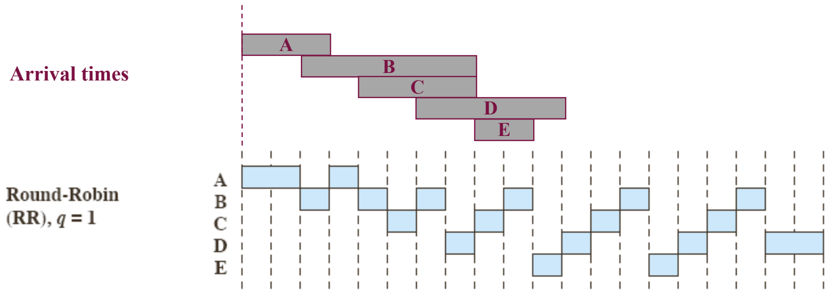
- Priority (non-preemptive)
  - P1 --> P2 --> P4 --> P3
- Priority (preemptive)
  - ??

Round Robin (RR)

- Each process gets a small unit of CPU time (*time quantum*), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q, then each process gets $1/n$ of the CPU time in chunks of at most q time units at once. No process waits more than $(n-1)q$ time units.
- Performance
  - q large $\Rightarrow$ FIFO
  - q small $\Rightarrow$ q must be large with respect to context switch, otherwise overhead is too high

Round Robin (RR)

- ✓ preemptive FCFS, based on a timeout interval, the **quantum** *q*
- ✓ the running process is interrupted by the clock and put last in a FIFO "Ready" queue; then, the first "Ready" process is run instead



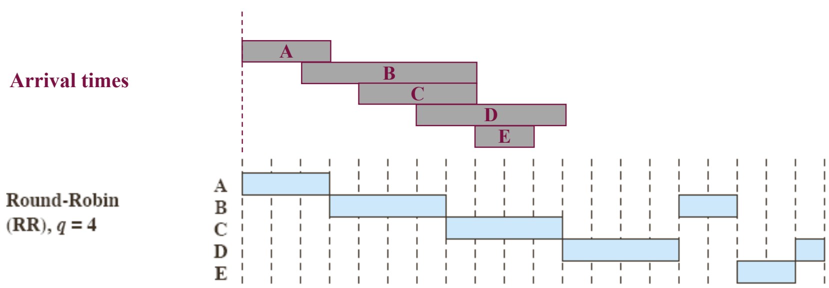
RR $q = 1$	Finish Time Turnaround Time (T_r) T_r/T_s	A 4 4 1.33	B 18 16 2.67	C 17 13 3.25	D 20 14 2.80	E 15 7 3.50	Mean 10.80 2.71

RR (q = 1) scheduling policy

Stallings, W. (2004) *Operating Systems: Internals and Design Principles (5th Edition).*

Round Robin (RR)

- ✓ a crucial parameter is the quantum *q* (generally ~10–100ms)
  - *q* should be big compared to context switch latency (~10μs)
  - *q* should be less than the longest CPU bursts, otherwise RR degenerates to FCFS



RR $q = 4$	Finish Time Turnaround Time (T_r) T_r/T_s	A 3 3 1.00	B 17 15 2.5	C 11 7 1.75	D 20 14 2.80	E 19 11 5.50	Mean 10.00 2.71

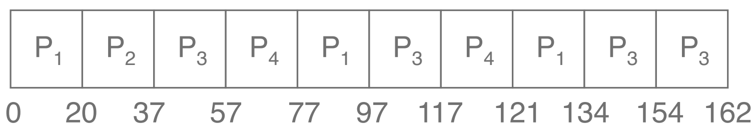
RR (q = 4) scheduling policy

Stallings, W. (2004) *Operating Systems: Internals and Design Principles (5th Edition).*

Example of RR with Time Quantum = 20

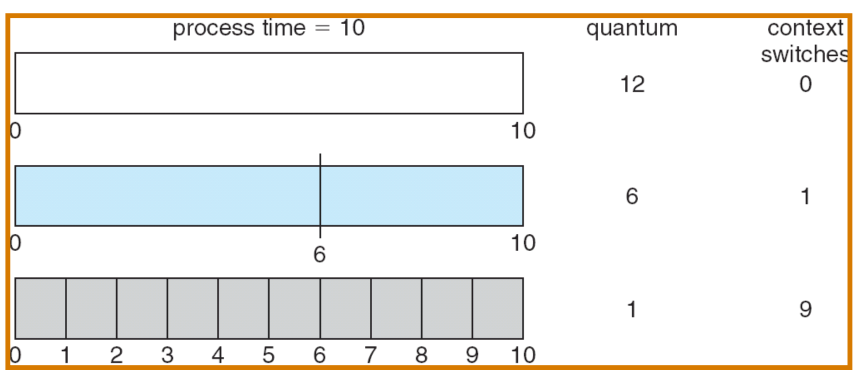
Process	Burst Time
P_1	53
P_2	17
P_3	68
P_4	24

- For q=20, the Gantt chart is:

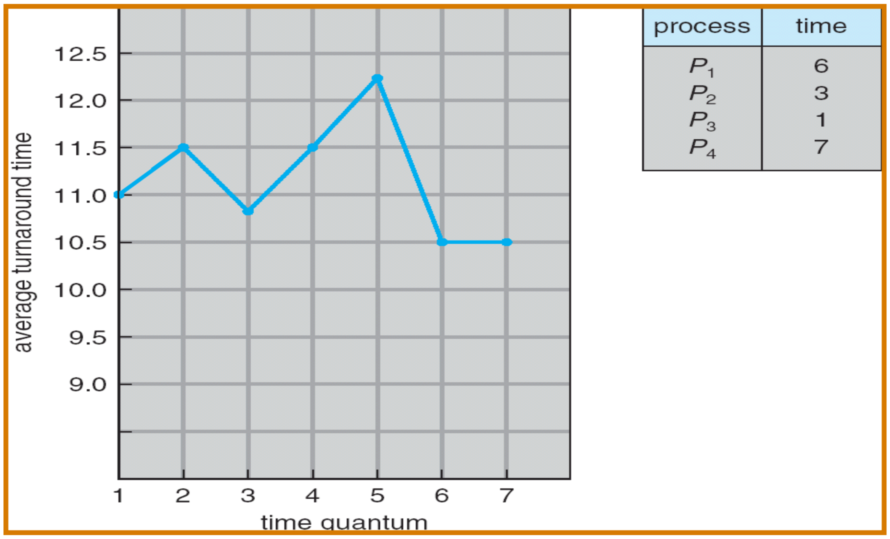


Typically, higher average turnaround than SJF, but better *response*

Time Quantum and Context Switch Time



Turnaround Time Varies With The Time Quantum



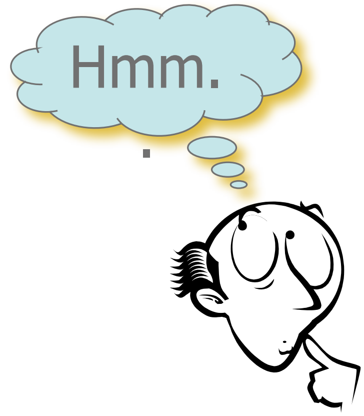
Exercise

Process ID	Arrival Time	Priority	Burst Time
A	0	3	20
B	5	1	15
C	10	2	10
D	15	4	5

- Draw gantt charts, find average turnaround and waiting times for above processes, considering:
- 1) First Come First Served Scheduling
- 2) Shortest Job First Scheduling (non-preemptive)
- 3) Shortest Job First Scheduling (preemptive)
- 4) Round-Robin Scheduling
- 5) Priority Scheduling (non-preemptive)
- 6) Priority Scheduling (preemptive)

Summary

- CPU Scheduling
  - Basic Concepts
  - Scheduling Criteria & Metrics
  - Different Scheduling Algorithms
    - FCFS
    - SJF
    - Priority
    - RR



- Next Lecture: Project Overview
- Reading Assignment: Chapter 5 from Silberschatz.

Acknowledgements

- “Operating Systems Concepts” book and supplementary material by A. Silberschatz, P. Galvin and G. Gagne
- “Operating Systems: Internals and Design Principles” book and supplementary material by W. Stallings
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