

**Question:** Assuming a **preemptive shortest job first** algorithm is in effect,

a) Draw the Gantt chart for the above processes.
b) Find the response time for each process
c) Find the waiting time for each process
d) Find the turnaround time for each process
Lecture - VI

CPU Scheduling - II

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Roadmap

- CPU Scheduling
  - Round-Robin Scheduling
  - Multilevel Feedback Queues
  - Estimating CPU bursts
Round Robin (RR)

- Each process gets a small unit of CPU time \((\text{time quantum})\), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.

- If there are \(n\) processes in the ready queue and the time quantum is \(q\), then each process gets \(1/n\) of the CPU time in chunks of at most \(q\) time units at once. No process waits more than \((n-1)q\) time units.

- Performance
  - \(q\) large \(\Rightarrow\) FIFO
  - \(q\) small \(\Rightarrow\) \(q\) must be large with respect to context switch, otherwise overhead is too high
Round Robin (RR)

✓ preemptive FCFS, based on a timeout interval, the quantum $q$
✓ the running process is interrupted by the clock and put last in a FIFO “Ready” queue; then, the first “Ready” process is run instead


<table>
<thead>
<tr>
<th>RR $q = 1$</th>
<th>Finish Time</th>
<th>Turnaround Time ($T_r$)</th>
<th>$T_r/T_1$</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>4</td>
<td>4</td>
<td>1.33</td>
</tr>
<tr>
<td>B</td>
<td>18</td>
<td>16</td>
<td>2.67</td>
</tr>
<tr>
<td>C</td>
<td>17</td>
<td>13</td>
<td>3.25</td>
</tr>
<tr>
<td>D</td>
<td>20</td>
<td>14</td>
<td>2.80</td>
</tr>
<tr>
<td>E</td>
<td>15</td>
<td>7</td>
<td>3.50</td>
</tr>
</tbody>
</table>

Mean: 10.80, 2.71
Round Robin (RR)

✓ a crucial parameter is the quantum $q$ (generally ~10–100ms)
  - $q$ should be big compared to context switch latency (~10µs)
  - $q$ should be less than the longest CPU bursts, otherwise RR degenerates to FCFS

Arrival times

Round-Robin (RR), $q = 4$

<table>
<thead>
<tr>
<th>RR $q = 4$</th>
<th>Finish Time Turnaround Time ($T_r$)</th>
<th>$T_r/T_s$</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1.00</td>
</tr>
<tr>
<td>B</td>
<td>17</td>
<td>15</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2.5</td>
</tr>
<tr>
<td>C</td>
<td>11</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1.75</td>
</tr>
<tr>
<td>D</td>
<td>20</td>
<td>14</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2.80</td>
</tr>
<tr>
<td>E</td>
<td>19</td>
<td>11</td>
</tr>
<tr>
<td></td>
<td></td>
<td>5.50</td>
</tr>
</tbody>
</table>

$T_r/T_s$ Mean: 10.00

RR ($q = 4$) scheduling policy

Example of RR with Time Quantum = 20

<table>
<thead>
<tr>
<th>Process</th>
<th>Burst Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>$P_1$</td>
<td>53</td>
</tr>
<tr>
<td>$P_2$</td>
<td>17</td>
</tr>
<tr>
<td>$P_3$</td>
<td>68</td>
</tr>
<tr>
<td>$P_4$</td>
<td>24</td>
</tr>
</tbody>
</table>

- For q=20, the **Gantt chart** is:

```
  P1  P2  P3  P4  P1  P3  P4  P1  P3  P3
0    20  37  57  77  97 117 121 134 154 162
```

Typically, higher average turnaround than SJF, but better *response*
Time Quantum and Context Switch Time

- Process time = 10
- Quantum:
  - 0: 12
  - 6: 6
  - 10: 1
- Context switches:
  - 0
  - 1
  - 9
Turnaround Time Varies With The Time Quantum

<table>
<thead>
<tr>
<th>process</th>
<th>time</th>
</tr>
</thead>
<tbody>
<tr>
<td>$P_1$</td>
<td>6</td>
</tr>
<tr>
<td>$P_2$</td>
<td>3</td>
</tr>
<tr>
<td>$P_3$</td>
<td>1</td>
</tr>
<tr>
<td>$P_4$</td>
<td>7</td>
</tr>
</tbody>
</table>
Comparison of Scheduling Algorithms
FCFS

**PROS:**
- It is a fair algorithm
  - schedule in the order that they arrive

**CONS:**
- Average response time can be lousy
  - small requests wait behind big ones
- May lead to poor utilization of other resources
  - FCFS may result in poor overlap of CPU and I/O activity
    - E.g., a CPU-intensive job prevents an I/O-intensive job from doing a small bit of computation, thus preventing it from going back and keeping the I/O subsystem busy
**SJF**

**PROS:**
- Provably optimal with respect to average response time
  - prevents convoy effect (long delay of short jobs)

**CONS:**
- Can cause starvation of long jobs
- Requires advanced knowledge of CPU burst times
  - this can be very hard to predict accurately!
SJF

**PROS:**
- Guarantees early completion of high priority jobs

**CONS:**
- Can cause starvation of low priority jobs
- How to decide/assign priority numbers?
RR

**PROS:**
- Great for timesharing
  - no starvation
- Does not require prior knowledge of CPU burst times

**CONS:**
- What if all jobs are almost the same length?
- How to set the “best” time quantum?
  - if small, then context switch often, incurring high overhead
  - if large, then response time degrades
Multilevel Queue

- Ready queue is partitioned into separate queues: foreground (interactive) background (batch)
- Each queue has its own scheduling algorithm
  - foreground - RR
  - background - FCFS
- Scheduling must be done between the queues
  - Fixed priority scheduling; (i.e., serve all from foreground then from background). Possibility of starvation.
  - Time slice - each queue gets a certain amount of CPU time which it can schedule amongst its processes; i.e., 80% to foreground in RR, 20% to background in FCFS
Multilevel Queues
Multilevel Queue Scheduling

highest priority

system processes

interactive processes

interactive editing processes

batch processes

student processes

lowest priority
Multilevel Feedback Queue

- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue scheduler defined by the following parameters:
  - number of queues
  - scheduling algorithms for each queue
  - method used to determine when to upgrade a process
  - method used to determine when to demote a process
  - method used to determine which queue a process will enter when that process needs service
Example of Multilevel Feedback Queue

• Three queues:
  - $Q_0$ - RR with time quantum 8 milliseconds
  - $Q_1$ - RR time quantum 16 milliseconds
  - $Q_2$ - FCFS

• Scheduling
  - A new job enters queue $Q_0$ which is served FCFS. When it gains CPU, job receives 8 milliseconds. If it does not finish in 8 milliseconds, job is moved to queue $Q_1$.
  - At $Q_1$ job is again served FCFS and receives 16 additional milliseconds. If it still does not complete, it is preempted and moved to queue $Q_2$. 
Multilevel Feedback Queues

- quantum = 8
- quantum = 16
- FCFS
How to estimate CPU burst time?
Determining Length of Next CPU Burst

- Can only estimate the length
- Can be done by using the length of previous CPU bursts, using exponential averaging

\[ \tau_{n+1} = \alpha t_n + (1 - \alpha) \tau_n. \]

1. \( t_n \) = actual length of \( n \)\(^{th} \) CPU burst
2. \( \tau_{n+1} \) = predicted value for the next CPU burst
3. \( \alpha, 0 \leq \alpha \leq 1 \)
4. Define:
Examples of Exponential Averaging

• \( \alpha = 0 \)
  - \( \tau_{n+1} = \tau_n \)
  - Recent history does not count

• \( \alpha = 1 \)
  - \( \tau_{n+1} = \alpha t_n \)
  - Only the actual last CPU burst counts

• If we expand the formula, we get:
  \[
  \tau_{n+1} = \alpha t_n \alpha^{n+1} + (1 - \alpha) \alpha t_n - 1 + \ldots \\
  + (1 - \alpha) \alpha^j t_{n-j} + \ldots \\
  + (1 - \alpha)^{n+1} \tau_0
  \]

• Since both \( \alpha \) and \( (1 - \alpha) \) are less than or equal to 1, each successive term has less weight than its predecessor
Prediction of the Length of the Next CPU Burst

\[
\begin{align*}
\tau_i & \quad 12 \quad 10 \quad 8 \quad 6 \\
t_i & \quad 6 \quad 4 \quad 6 \quad 4 \quad 13 \quad 13 \quad 13 \quad \ldots \\
\text{CPU burst (t_i)} & \quad 6 \quad 4 \quad 6 \quad 4 \quad 13 \quad 13 \quad 13 \quad \ldots \\
\text{"guess" (\tau_i)} & \quad 10 \quad 8 \quad 6 \quad 6 \quad 5 \quad 9 \quad 11 \quad 12 \quad \ldots \\
\end{align*}
\]

\[\text{Alpha} = 1/2, \ T0 = 10\]
Exercise

Consider the exponential average formula used to predict the length of the next CPU burst. What are the implications of assigning the following values to the parameters used by the algorithm?

a. $\alpha = 0$ and $\tau_0 = 100\text{milliseconds}$

b. $\alpha = 0.99$ and $\tau_0 = 10\text{milliseconds}$

Answer: When $\alpha = 0$ and $\tau_0 = 100\text{milliseconds}$, the formula always makes a prediction of 100 milliseconds for the next CPU burst. When $\alpha = 0.99$ and $\tau_0 = 10\text{milliseconds}$, the most recent behavior of the process is given much higher weight than the past history associated with the process. Consequently, the scheduling algorithm is almost memory-less, and simply predicts the length of the previous burst for the next quantum of CPU execution.
Summary

• CPU Scheduling
  - Round-Robin Scheduling
  - Multilevel Feedback Queues
  - Estimating CPU bursts

• Next Lecture: Project-1 Discussion
Acknowledgements

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