Agenda

We've done

- Growth of functions
- Asymptotic Notations $(O, o, \Omega, \omega, \Theta)$
- Recurrence relations and a few methods of solving them
- Divide and Conquer

Now

Designing Algorithms with the Greedy Method

© Hung Q. Ngo (SUNY at Buffalo) CSE 531 Algorithm Analysis and Design

1 / 65

Interval Scheduling – Problem Definition

 Scheduling requests on a single resource (a class room, a processor, etc.)

Input:

- a set $\mathcal{R} = \{R_1, \dots, R_n\}$ of *n* requests to be scheduled
- R_i represented by the time interval $[s_i, f_i)$
- **Output:** a set of as many non-overlapping intervals as possible



Greedy Algorithm - A Better Implementation



Proving Correctness

- Induct on $|\mathcal{R}|$ that our algorithm returns an optimal solution.
- Base case. When $|\mathcal{R}| = 1$, easy!
- Induction hypothesis. Suppose our algo is good when $|\mathcal{R}| < n-1$.
- Induction step. Consider $|\mathcal{R}| = n$.
 - Claim 1: by the induction hypothesis, $C' = C \{R_1\}$ is optimal for the sub-problem \mathcal{R}'

• Hence.

$$\operatorname{cost}(C) = 1 + \operatorname{cost}(C') = 1 + \operatorname{OPT}(\mathcal{R}') \tag{1}$$

- Claim 2: there exists an optimal solution O containing the greedy choice (the first interval R_1)
- Claim 3: $O' = O \{R_1\}$ is an optimal solution for the sub-problem \mathcal{R}'
- Thus,

$$OPT(\mathcal{R}) = cost(O) = 1 + cost(O') = 1 + OPT(\mathcal{R}')$$
(2)

• Conclusion: (1) and (2) imply $cost(C) = OPT(\mathcal{R})$



Greedy Algorithms

- It is hard to define what a "greedy" algorithm is
- Roughly: at each iteration, select a "locally optimal" building block

Example

01-KNAPSACK At each iteration, select the most valuable item that he could still carry

Example

01-KNAPSACK At each iteration, select the item with the most value per pound that he could still carry

 Easy to construct examples where both greedy strategies lead to non-optimal solutions

©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

11 / 65

In General Terms

- A correct algorithm always returns an optimal solution. ("Correct algorithm" is somewhat of a misnomer. In general, an algorithm is correct if it always returns solutions as we intended it to return. The intention need not be optimality.)
- To prove that a greedy algorithm is incorrect, present **one** counter example.
- Note: an incorrect greedy algorithm may still give optimal solutions, depending on the inputs.
- To prove that a greedy algorithm is correct, there are two basic strategies (among others):
 - Induction
 - Exchange argument

Proving Correctness Using Induction – Strategy 1



Proving Correctness Using the Exchange Argument



Attempts at Greedy Choices



- Colors are represented by integers (color 1, color 2, etc.)
- Partitioning becomes coloring
- Two conflicting intervals need different colors
- Possible strategies
 - Consider intervals one at a time, assign to a new interval the least non-conflicting integer.
 - Sort intervals by starting times, then use strategy 1.

A lower bound

Let *d* be the maximum number of mutually overlapping intervals, then we need at least *d* colors.

©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

```
20 / 65
```

Greedy Algorithm

We will use only d colors: $[d] = \{1, ..., d\}$ Greedy-Interval-Partitioning(\mathcal{R})

- 1: Sort requests by their starting times, breaking ties arbitrarily
- 2: // now $s_1 \leq s_2 \leq \cdots \leq s_n$
- 3: **for** j = 1 **to** *n* **do**
- 4: **for** each R_i preceding R_i and overlaps R_i **do**
- 5: Exclude color of R_i from consideration for R_i
- 6: end for
- 7: **if** there is any color in [d] available **then**
- 8: Use that color for R_i
- 9: **else**
- 10: Leave R_i un-colored
- 11: end if
- 12: end for

Proof of Correctness

- Let J be the set of intervals overlapping R_j
- Then, $J \cup \{R_j\}$ is a mutually-overlapping set of intervals
- Thus $|J| \leq d-1$.
- Thus, there is always an available color for R_j
- Since we used only *d* colors, our algorithm is optimal.

CHung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

```
22 / 65
```

Scheduling to Minimize Lateness – Problem Definition

- **Input:** *n* jobs; job J_i has duration t_i and deadline d_i
- **Output:** a schedule on a single machine to minimize the maximum lateness.
- Lateness is the amount of time a job is late compared to its deadline, and is 0 if the job is on-time.

	1	2	3	4	5	6
† _j	3	2	1	4	3	2
d_{j}	6	8	9	9	14	15





Proof of Correctness

- A schedule has an inversion if $d_i > d_j$ yet J_i is scheduled before d_j
- The machine has idle time if it's free for a while between some two jobs

Claim 1

There is an optimal schedule with no idle time

Claim 2

All schedules with no idle time and no inversions have the same maximum lateness

Claim 3

There is an optimal schedule with no idle time and no inversions

©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

27 / 65

Proof of Claim 1





Single Source Shortest Paths – Problem Definition

- G = (V, E), a path is a sequence of vertices $P = (v_0, v_1, \dots, v_k)$, where $(v_i, v_{i+1}) \in E$, and no vertex is repeated
- A walk is the same kind of sequence with repeated vertices allowed
- If $w: E \to \mathbb{R}$, then $w(P) = w(v_0v_1) + \cdots + w(v_{k-1}v_k)$.

Single Source Shortest Paths Problem

Given a directed graph G = (V, E), a source vertex $s \in V$, and a weight function $w: E \to \mathbb{R}^+$.

Find a shortest path from *s* to each vertex $v \in V$

Question

What if the graph is undirected?

© Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

33 / 65

Representing Shortest Paths

How do we represent shortest paths from s to each vertex $v \in V$?

Lemma

If $P = (s, \ldots, u, v)$ is a shortest path from s to v, then the part of P from s to *u* is a shortest path from *s* to *u*.

Shortest Path Tree

For each $v \in V$, maintain a pointer $\pi[v]$ to the previous vertex along a shortest path from s to v. For the rest,

> $\pi[s] = \text{NIL}$ $\pi[v] = \text{NIL if } v \text{ is not reachable from } s$

Notes:

- There could be multiple shortest paths to the same vertex
- The representation gives one set of shortest paths

Shortest Path Tree



Dijkstra's Algorithm

- d[v]: current estimate of the weight of a shortest path to v
- $\pi[v]$: pointer to the previous vertex on the shortest path to v

$\mathsf{DIJKSTRA}(G, s, w)$

- 1: Set $d[v] \leftarrow \infty$ and $\pi[v] \leftarrow$ NIL, for all v
- 2: $d[s] \leftarrow 0$; $S \leftarrow \{s\} // S$ is the set of explored nodes
- 3: while $S \neq V$ do
- 4: Choose $v \notin S$ with at least one edge from S for which

$$d'(v) = \min_{(u,v)\in E, u\in S} \{d[u] + w(u,v)\}$$

is as small as possible. Let $u \in S$ be the vertex realizing the minimum d'(v)

- 5: $S \leftarrow S \cup \{v\}; d[v] \leftarrow d'(v), \pi[v] \leftarrow u$
- 6: end while

Better Implementation

- *d*[*v*]: current estimate of the weight of a shortest path to *v*
- $\pi[v]$: pointer to the previous vertex on the shortest path to v

```
INITIALIZE-SINGLE-SOURCE(G, s)
```

```
1: for each v \in V(G) do

2: d[v] \leftarrow \infty

3: \pi[v] \leftarrow \text{NIL}

4: end for

5: d[s] \leftarrow 0

RELAX(u, v, w)

1: if d[v] > d[u] + w(u, v) then

2: d[v] \leftarrow d[u] + w(u, v)
```

4: end if

©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

37 / 65

Better Implementation – Priority Queues

A priority queue is a data structure that

- maintains a set S of objects
- for each $s \in S$, $key[s] \in \mathbb{R}$

Two types: min-priority queue and max-priority queue **Min-Priority Queue** – denoted by Q

- INSERT(Q, x): insert x into Q
- MINIMUM(*Q*): returns element with min key
- EXTRACT-MIN(Q): removes and returns element with min key
- DECREASE-KEY(Q, x, k): change key[x] to k, where $k \le key[x]$

Using Heap, Min-PQ can be implemented so that:

- Building a Q from an array takes O(n)
- Each of the operations takes $O(\lg n)$

```
DIJKSTRA(G, s, w)

1: INITIALIZE-SINGLE-SOURCE(G, s)

2: S \leftarrow \emptyset // set of vertices considered so far

3: Q \leftarrow V(G) // \forall v, key[v] = d[v] after initialization

4: while Q is not empty do

5: u \leftarrow ExtRACT-MIN(Q)

6: S \leftarrow S \cup \{u\}

7: for each v \in Adj[u] do

8: RELAX(u, v, w)

9: end for

10: end while
```

©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

```
Running Time
```

PQ Op.	Dijkstra	Array	Bin. Heap	d-way Heap	Fib. Heap
INSERT	п	п	lg n	$d\log_d n$	1
Exr-Min	n	п	lg n	$d \log_d n$	lg n
DEC-KEY	m	1	lg n	$\log_d n$	1
IS-EMPTY	n	1	1	1	1
Total		n^2	m lg n	$m \log_{m/n} n$	$m + n \lg n$

Correctness of Dijkstra's Algorithm

For each u, let P_u be the path from s to u in the shortest path tree returned by Dijkstra's algorithm.

Theorem

Consider the set *S* at any point in the execution of the algorithm. For each vertex $u \in S$, the path P_u is a shortest *s*–*u* path

Proof.

Induction on |S|.

© Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

Analysis of Dijkstra's Algorithm

Let n = |V(G)|, and m = |E(G)|

- INITIALIZE-SINGLE-SOURCE takes O(n)
- Building the queue takes O(n)
- The while loop is done *n* times, so EXTRACT-MIN is called *n* times for a total of *O*(*n* lg *n*)
- For each *u* extracted, and each *v* adjacent to *u*, RELAX(*u*, *v*, *w*) is called, hence totally |*E*| calls to RELAX were made
- Each call to RELAX implicitly implies a call to DECREASE-KEY, which takes O(lg n); hence, totally O(m lg n)-time on DECREASE-KEY

In total, we have $O((m + n) \lg n)$, which could be improved using FIBONACCI-HEAP to implement the priority queue

Minimum Spanning Tree – Problem Definition

- Input: a connected graph G = (V, E), edge cost $c : E \to \mathbb{R}^+$
- Output: a spanning tree *T* of *G*, i.e. a connected sub-graph with no cycle which spans all vertices.



© Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

Attempts at Greedy Choices

- (Kruskal) Start with $T = \emptyset$. Consider edges in ascending order of costs. Add edge *e* into *T* unless *e* completes a cycle in *T*.
- (Prim) Start from any vertex *s* of *G*. Grow a tree *T* from *s*. At each step, add the cheapest edge *e* with exactly one end in *T*
- (Reverse Delete) Start with T = E. Consider edges in descending order of costs. Remove *e* from *T* unless doing so disconnects *T*

Amazingly

All three attempts are good greedy algorithms

Proof of Correctness – An Exchange Lemma

Lemma (Exchange Lemma)

Let *T* be any minimum spanning tree of *G*. Let *e* be any edge of *G* with $e \notin T$. Then,

- *e* forms a cycle with some edges of *T*,
- all edges on this cycle has cost at least c(e)



Correctness of Kruskal's and Prim's Algorithms

Kruskal is correct

Let e_1, \ldots, e_{n-1} be edges of the tree that Kruskal algorithm selects, in that order. Prove by induction that, for each $i \in \{1, \ldots, n-1\}$ there exists an MST containing e_1, \ldots, e_i .

Prim is correct

Let e_1, \ldots, e_{n-1} be edges of the tree that Prim algorithm selects, in that order. Prove by induction that, for each $i \in \{1, \ldots, n-1\}$ there exists an MST containing e_1, \ldots, e_i .

Reverse-delete is correct

Let $e_1, \ldots, e_{m-(n-1)}$ be edges that REVERSE-DELETE deleted during its execution, in that order. Prove by induction that, for each $i \in \{1, \ldots, m - (n-1)\}$ there exists an MST not containing any of e_1, \ldots, e_i .

©Hung Q. Ngo (SUNY at Buffalo)

Implementing Prim's Algorithm with a Priority Queue

```
• Similar to Dijkstra's algorithm, grow the tree from S
  • For each unexplored v, maintain "attachment cost" a[v] = cost of
     cheapest edge connecting S to v
MST-PRIM(G, w)
 1: a[v] \leftarrow \infty, \forall v \in V; S \leftarrow 0, Q \leftarrow \emptyset
 2: Insert all v into Q
 3: while Q is not empty do
       u \leftarrow \mathsf{EXTRACT}\mathsf{-MIN}(Q); \ S \leftarrow S \cup \{u\}
 4:
       for each v such that e = (u, v) \in E do
 5:
         if w_e < a[v] then
 6:
            DECREASE-KEY(Q, v, w_e)
 7:
          end if
 8:
       end for
 9:
10: end while
Time: O(n^2) with an array as Q, O(m \lg n) with a binary heap.
 ©Hung Q. Ngo (SUNY at Buffalo)
                            CSE 531 Algorithm Analysis and Design
                                                                               48 / 65
Implementing Kruskal's Algorithm with Union-Find
Data Structure
```

```
MST-Kruskal(G, w)
```

```
1: A \leftarrow \emptyset // the set of edges of T
```

```
2: Sort E in increasing order of costs // c(e_1) \leq \cdots \leq c(e_m)
```

```
3: for each vertex v \in V(G) do
```

```
4: MAKE-SET(v)
```

```
5: end for
```

```
6: for i = 1 to m do
```

```
7: // Suppose e_i = (u, v)
```

8: **if** FIND-SET $(u) \neq$ FIND-SET(v) **then**

```
9: A \leftarrow A \cup \{e_i\}
```

```
10: SET-UNION(u, v)
```

```
11: end if
```

```
12: end for
```

- It is known that O(m) set operations take $O(m \lg m)$.
- Totally, Kruskal's Algorithm takes $O(m \lg m)$.

```
©Hung Q. Ngo (SUNY at Buffalo)
```



Huffman's Idea

HUFFMAN'S ALGORITHM

- 1: while there are two or more leaves in C do
- 2: Pick two leaves *x*, *y* with least frequency
- 3: Create a node *z* with two children *x*, *y*, and frequency f(z) = f(x) + f(y)

4:
$$C = (C - \{x, y\}) \cup \{z\}$$

5: end while

©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

54 / 65

Correctness of Huffman's Algorithm

Lemma

Let *C* be a character set, where each $c \in C$ has frequency f(c). Let *x* and *y* be two characters with least frequencies. Then, there exists an optimal prefix code for *C* in which the codewords for *x* and *y* have the same length and differ only in the last bit

Lemma

Let *T* be a full binary tree representing an optimal prefix code for *C*. Let *x* and *y* be any leaves of *T* which share the same parent *z*. Let $C' = (C - \{x, y\}) \cup \{z\}$, with f(z) = f(x) + f(y). Then, $T' = T - \{x, y\}$ is an optimal tree for *C'*.

Optimal Caching – Problem Definition

Setting

- A cache with capacity to store k items, k < n, initially full
- A sequence of *n* requests for items: d_1, \ldots, d_n
- Cache hit: requested item already in cache when requested
- Cache miss: requested item not in cache, must evict some item to bring requested item into cache

Objective find an eviction schedule (which item(s) to evict and when) to minimize the number of evictions

Example: k = 2, initial cache ab, requests a, b, c, b, c, a, b



©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

Attempts at Greedy Choices

- First In Last Out (FILO)
- First In First Out (FIFO)
- Evict item least frequently used in the past
- Evict item referenced farthest into the past (Least Recently Used – LRU)
- Evict item least frequently used in the future
- Evict item needed the farthest into the future (Les Belady's idea, 1960s): this works!

Reduced Schedules

Notes:

- At each step, we can evict and bring in as many items as we wish
- We can assume that the cache is always full

Reduced schedules:

- A schedule is reduced if it only brings in an item at the point when the item is requested (and missed)
- Every schedule S can be transformed into a reduced schedule S' with the same number of misses ⇒ there is a reduced optimal schedule!

CHung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

59 / 65

Transforming Schedules to Reduced Schedules

Evicted Item		-	е		-	-	•
	•	-	-	-	-	-	•
	•	-	-	-	-	-	-
		-	d	•	-	-	•
	•	-	•	-	-	-	-
	•	-	-	-	-	-	-
Requests	-	•	X		•		d

- Say, d was inserted before needed, e was sacrificed
- If *e* is brought back in before *d* is requested \Rightarrow miss
- If *e* is not brought back in before *d* is requested, *e* could have just remained, and bring *d* in when requested

Correctness of Farthest-in-Future

Let S_F be the schedule returned by Farthest-in-Future

We show by induction on *j* that

For every $j \ge 0$, there exists a reduced optimal schedule *S* which makes the same evictions as S_F through the first *j* steps.

- Base case: j = 0 is obvious.
- Let S be a reduced optimal schedule agreeing with S_F 'til step j
- Consider step j + 1: suppose d is requested, S_F evicts e, S evicts f
 - Define another S': S' evicts e, then mimics S as far as possible
 - The first time S' can't follow S, suppose g is requested
 - Case 1: $g \neq e, g \neq f, S$ evicts e
 - Case 2: g = f, (2a) S evicts e, (2b) S evicts $e' \neq e$
 - Case 3: g = e impossible!
 - Thus, S' is optimal and agree with S_F till step j + 1

©Hung Q. Ngo (SUNY at Buffalo)

CSE 531 Algorithm Analysis and Design

S and S_F

Evicted		-	е	-	-	-	-	-	-	-
		-	-	-	-	-	-	-	-	
S_F		-	d		-	-	-	-	-	
		-		-	-	-	-	-	-	-
		-	f	-	-	-		-	-	
Requests		-	d	-			-		-	-
- 1	1	I		I	I	I	I	I	1	1
Evicted	•	-	f	-		-	-	-	-	-
Evicted	. .	•	f	-	•	-	-	-	-	
Evicted	. . .	-	f d	- - -	- - -	. . .	- - -	- - -
Evicted S	. . .	- - -	f d	- - -	•	- - -	•	•	- - -	. . .
Evicted S	•	f d e		- - -		- - -	- - -	- - -	
Evicted S Requests	- - - -	f d e d	- - - -						

S and S', Case 1: $g \neq e, g \neq f$

Evicted	.	-	е				f		-	
		•	•	•		-	•	•	•	
S'	.		d	•		-	-		-	-
	•	-	•	•	-	-	•		-	-
		•	f	•	•	-	g	•	-	•
Requests		-	d			-	g		-	•
							I	1		
Evicted			l f				~			
	•	•	I	•	•	•	е	•	•	•
		• •	- I	•	•	•	е	• •	•	•
S		• • •	d			- - -	е	. . .	•	- - -
S		· · ·	d	• • •	•	- - -	е	. . .	- - -	• • •
S		·	d d e	•	•	•	e · · g	· · · ·	· · ·	· · ·
S Requests		· · · ·	d d e d	•	• • • •	• • • •	9 9	· · · ·	· · ·	· · ·

© Hung Q. Ngo (SUNY at Buffalo) CSE 531 Algorithm Analysis and Design

63 / 65

Case 2a: g = f, S evicts e

Evicted			е	-		-	-	-	-	-
	-	-		•	-	•	-	-	-	•
S'		-	d	•	•	•	-	-	-	•
				-	-	-	-		-	
	-		f	-	-	-	f	-	-	-
Requests	-	-	d		-		f	-	-	
Evicted		-	f	-	-	-	е	-	-	-
Evicted	-	. .	f	•	•	•	е	•	•	•
Evicted S	f d	-	- - -	- - -	e	. . .	- - -	-
Evicted S	f d	•	•	•	e	•	•	•
Evicted S	f d e	- - -	- - -	- - -	e f	- - -	- - -	
Evicted S Requests	- - - -	f d e d	- - - -	- - - -	- - - -	e · · f	- - - -	- - - -	- - - -

Case 2b: g = f, S evicts $e' \neq e$

Evicted		-	е	-	-		e'		•	-
		•	-	•	•		-	•	•	-
S'	-	-	d	-	-	-		-	-	•
	-	-	·	-	•	•	е	.	-	-
	-	-	f	-	-	-	f	-	-	-
Requests		•	d			•	f			
			1							
Evicted		-	f	-	-	-	e'	-	-	-
		-	•	-	-	•	-		-	•
S	-	-	d	-	-	-			-	-
	.	-	-	-	-	-	f	.	-	
		-	e	-	-	-	e	-	-	•
Requests		-	d	-	-		f	-	-	-

©Hung Q. Ngo (SUNY at Buffalo) CSE 531 Algorithm Analysis and Design