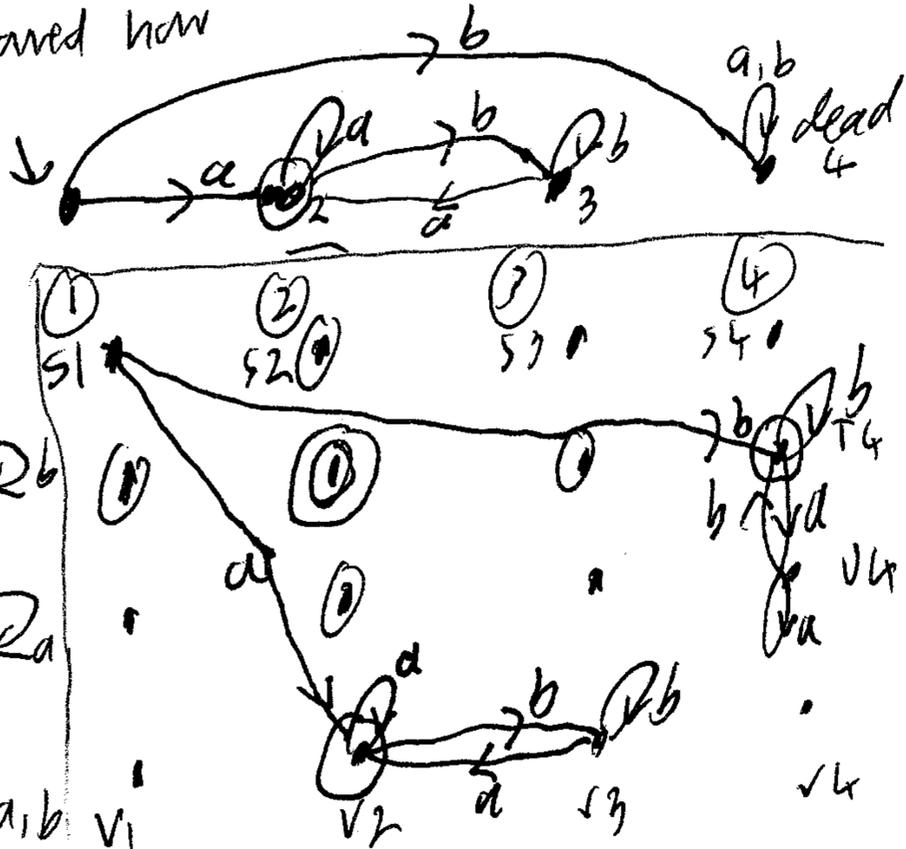


# Lectures on Tue Feb 10 & Thu Feb 12.

[I first put comments on the states in the text's Example 1-11. Then I noted how its language is the union of two languages having simpler DFAs  $M_1$  and  $M_2$ . Then I showed how you could get the text's  $M_3$  as a "Cartesian Product" of  $M_1$  &  $M_2$ :  $M_3 =$



$L_2 = \{w = w \text{ length and ends with } b\}$   
 $L = L_1 \cup L_2$   
 $Q_1 = \{1, 2, 3, 4\}$   
 $Q_2 = \{s_1, s_2, s_3, s_4\}$   
 Dead  $Q_{a,b}$

Cartesian Product Construction:  
 $Q_3 = Q_1 \times Q_2 = \{1s, 1t, 1u, 1v, 2s, 2t, 2u, 2v, \dots, 4v\}$  16 states.  
 $\delta_3((p_1, p_2), c) = (\delta_1(p_1, c), \delta_2(p_2, c))$   
 $F_3 = \{(q_1, q_2) = q_1 \in F_1 \text{ OR } q_2 \in F_2\}$   
 $F_3' = \{(q_1, q_2) = q_1 \in F_1 \text{ AND } q_2 \in F_2\}$   
 $L' = L_1 \cap L_2 = \emptyset$  in this case.

Figure 1.14 shows the three-state machine  $M_5$ , which has a four-symbol input alphabet,  $\Sigma = \{\langle \text{RESET} \rangle, 0, 1, 2\}$ . We treat  $\langle \text{RESET} \rangle$  as a single symbol.

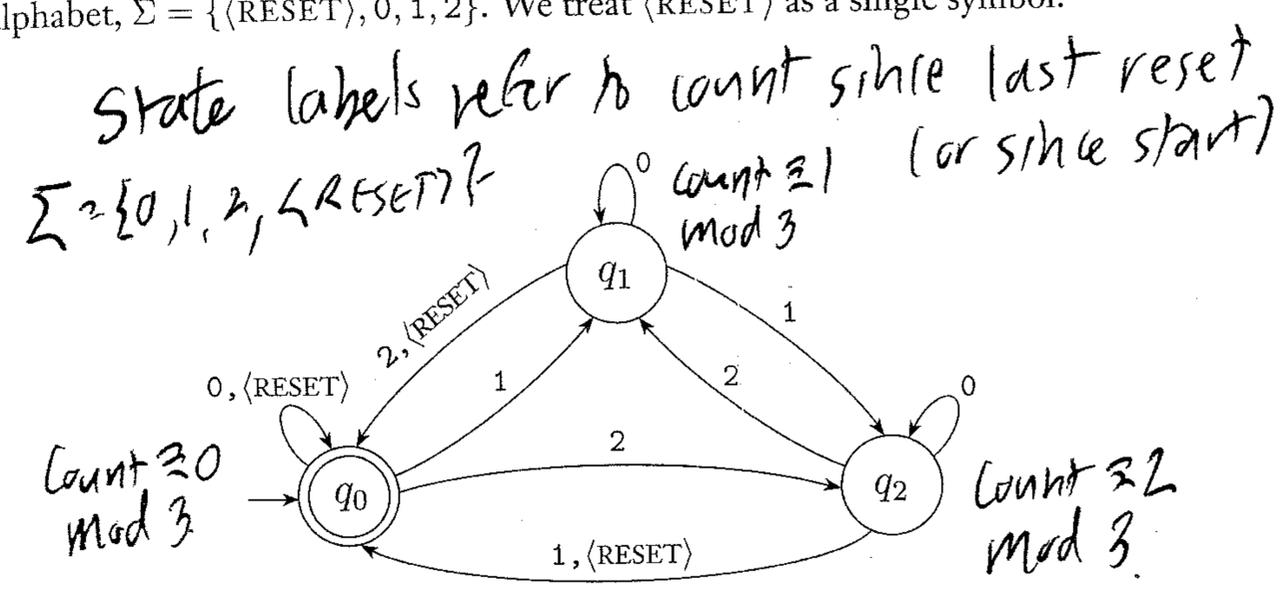
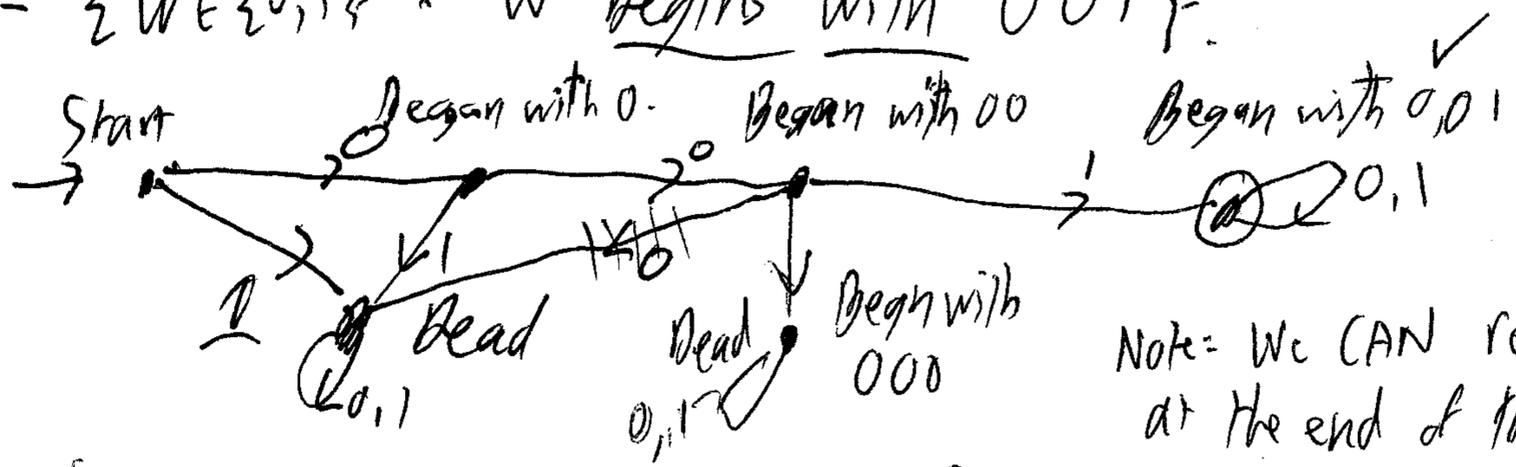


FIGURE 1.14 Finite automaton  $M_5$

Machine  $M_5$  keeps a running count of the sum of the numerical input symbols it reads, modulo 3. Every time it receives the  $\langle \text{RESET} \rangle$  symbol, it resets the count to 0. It accepts if the sum is 0 modulo 3, or in other words, if the sum is a multiple of 3. Including  $\epsilon$ !

Diagram of finite automaton by state diagram is not possible in some cases.

$L_1 = \{w \in \{0,1\}^* : w \text{ begins with } 001\}$

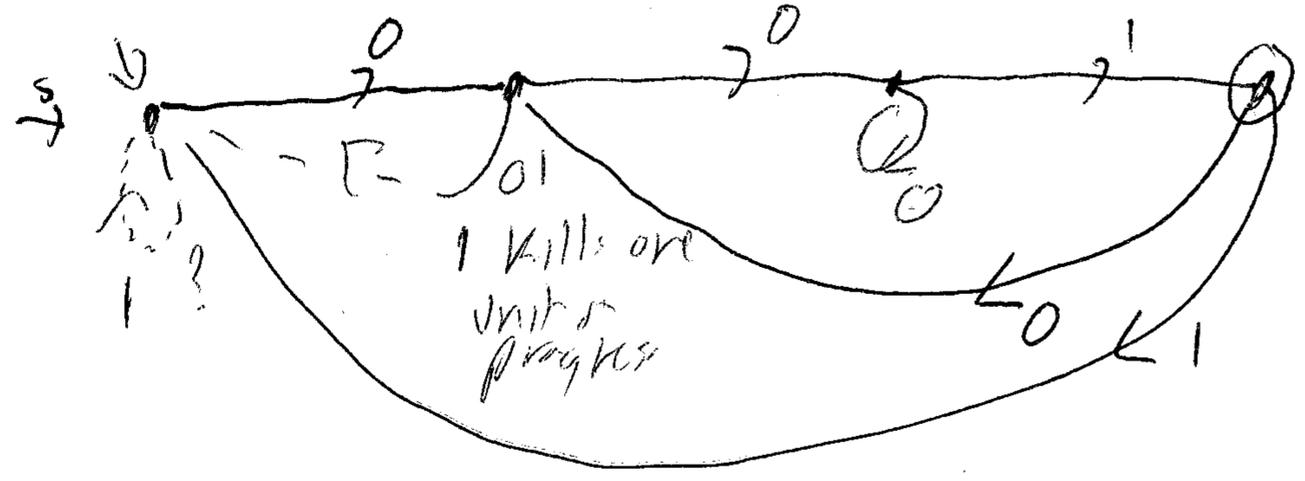


Note: We CAN recycle the diagram at the end of the last lecture.

$L_2 = \{w \in \{0,1\}^* : w \text{ ends with } 001\}$

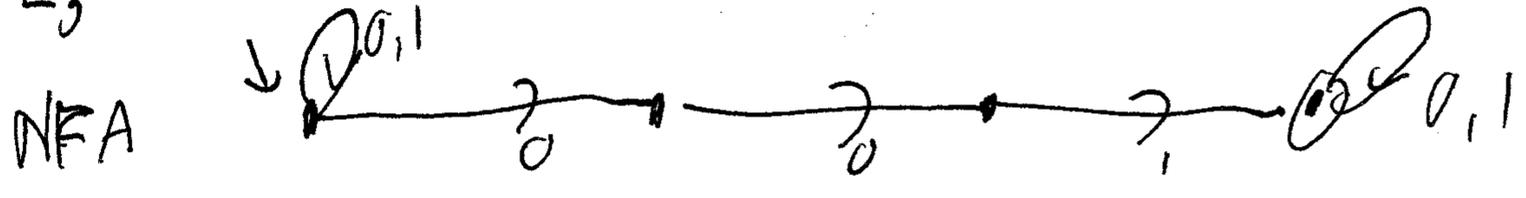
Idea = Maintain Progress Toward Desired state.

Zero progress. Got the 0. Got 00. Last 3 chars 001.



Make this a "Nirvana" state after all.

$L_3 = \{w \in \{0,1\}^* : w \text{ has } 001 \text{ somewhere inside}\}$



[The lecture plan intended to finish with  $L_4 = \{w : 001 \text{ appears in } w \text{ but not necessarily consecutive}\}$ . There wasn't quite time, but this reappeared at the end on Thursday where the DFA made a nice punchline at the end.]

"Next time  $\rightarrow$  formal definition of NFAs and idea of regular expressions."

Def<sup>n</sup>: A nondeterministic finite automaton (NFA) is a

5-tuple  $N = (Q, \Sigma, \delta, s, F)$  where:  $Q$  is a finite set of states  
 $\Sigma$  is a finite alphabet  
 $s \in Q$  is the start state  
 $F \subseteq Q$  is the set of accepting states

DFA had:  $\delta: Q \times \Sigma \rightarrow Q$

which is the same as a relation

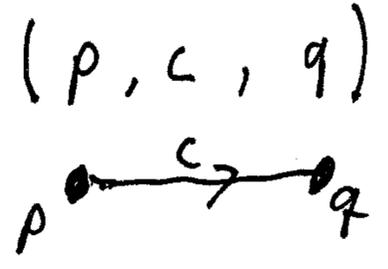
$\delta \subseteq (Q \times \Sigma) \times Q$  that happens to be a function.

like with a DFA

"Ordinary NFA":  $\delta \subseteq Q \times \Sigma \times Q$

Not necessarily a function of the first two arguments. Can be partial or nondeterministic.

Typical instruction when graphed:



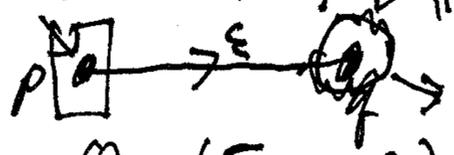
$p \neq q$  is allowed:  $p \xrightarrow{c} q$

Text's NFA adds a second instruction type:

$(p, \epsilon, q)$

Side note:  $(p, \epsilon, p)$  makes no sense

If  $q \in F$ , you may as well say  $p \in F$  too.



"Whenever  $p$ , then also  $q$  for free"

Putting both types together:  $\delta \subseteq Q \times (\Sigma \cup \{\epsilon\}) \times Q$  is the full NFA instruction type.

Def<sup>n</sup>: A computation [path] for an NFA  $N = (Q, \Sigma, \delta, s, F)$  is a sequence

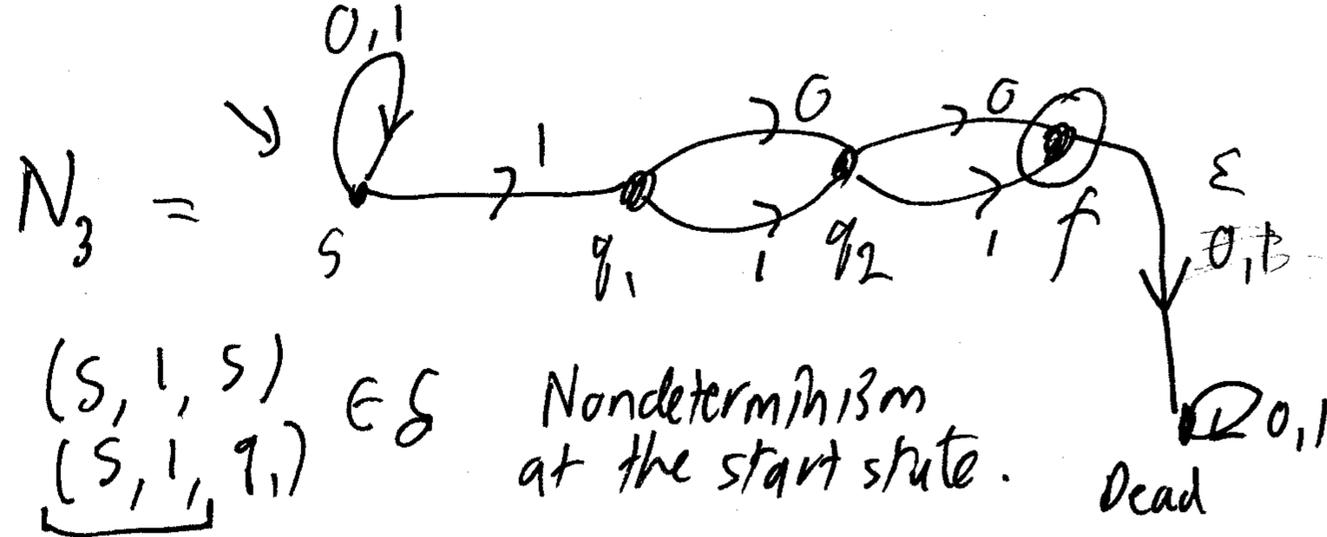
$(r_0, \gamma_1, r_1, \gamma_2, r_2, \dots, r_{m-2}, \gamma_{m-1}, r_{m-1}, \gamma_m, r_m)$  where for each  $i$ ,

$(r_{i-1}, \gamma_i, r_i)$  is an instruction in  $\delta$ . From the types, we can say:

- $r_0, r_1, \dots, r_m$  are states in  $Q$  (of course not necessarily all distinct)
  - Each  $\gamma_i$  is either a char or  $\gamma_i = \epsilon$ .
  - Either way  $\gamma_1 \cdot \gamma_2 \cdot \dots \cdot \gamma_m$  "string together" to make a string  $\gamma \in \Sigma^*$ .
  - If there are no  $\epsilon$ 's, then  $|\gamma| = m$ . But if there are  $k$   $\epsilon$ 's, then  $|\gamma| = m - k$ .
  - We say that  $N$  can process  $\gamma$  (entirely) from state  $r_0$  to state  $r_m$ .
  - When  $r_0 = s$  and  $r_m \in F$ , then we have an accepting computation and  $\gamma \in L(N)$ .
- Same def<sup>n</sup> is "inherited" by DFAs.

# Examples With Their Computations

There are no instructions (4)  
 (f, 0, —). "completely"  
 Nor (f, 1, —). Partial at f  
 We could "complete" the machine  
 by filling in a dead state and  
 transitions to it.



$(s, 1, s) \in \delta$   
 $(s, 1, q_1) \in \delta$   
 Nondeterminism  
 at the start state.

Consider  $y = 110100$  Some computations:

$(s, 1, q_1, 1, q_2, 0, f)$   $m=3$  Does this accept  $y$ ? No: it does not process all of  $y$ . It does accept the prefix 110.

$(s, 1, s, 1, q_1, 0, q_2, 1, f)$  Crash! Neither of these counts as a valid computation on  $y$ , i.e. processing  $y$ .

The completed NFA can at least process  $y$   
 like so:

$(s, 1, s, 1, q_1, 0, q_2, 1, f, 0, \text{dead}, 0, \text{dead})$   $m=6$ , process 110100 (but does not accept it).

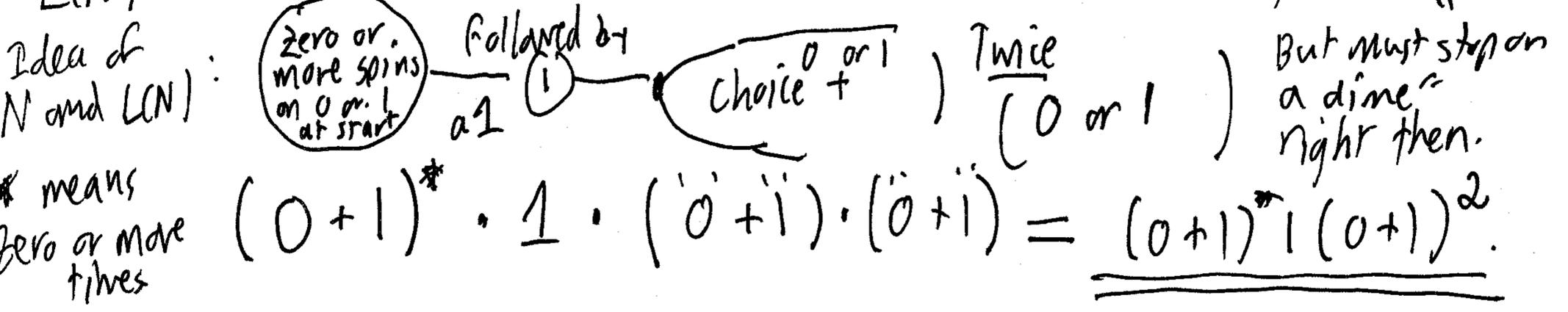
With  $\epsilon$  instead, we can do

$(s, 1, s, 1, q_1, 0, q_2, 1, f, \epsilon, \text{dead}, 0, \text{dead}, 0, \text{dead})$ . But,  $m=7$  steps.  
 Input:  $1 \cdot 1 \cdot 0 \cdot 1 \cdot \epsilon \cdot 0 \cdot 0$  still equals  $y = 110100$ .

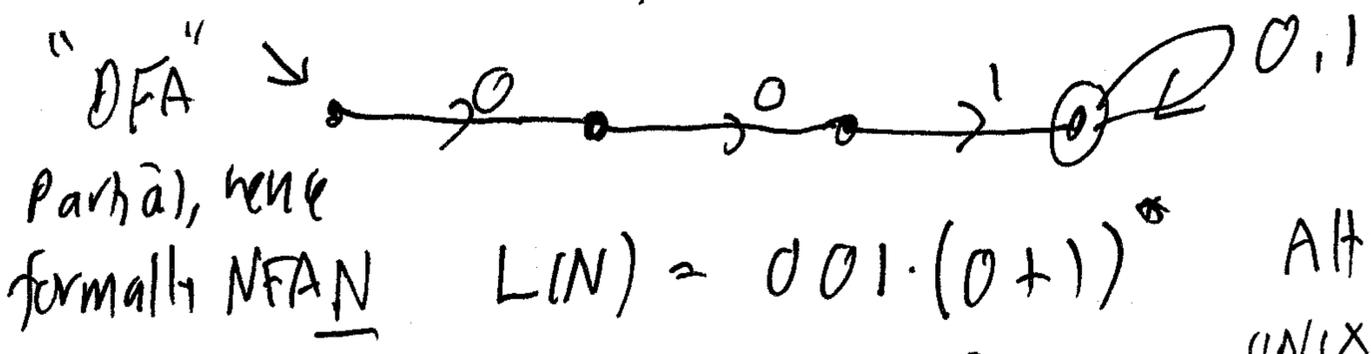
Third computation does accept.

$(s, 1, s, 1, s, 0, s, 1, q_1, 0, q_2, 0, f)$  process all of  $y = 110100$   
 So  $y \in L(N)$ .

$L(N) = \{w \in \{0,1\}^* : |w| \geq 3 \text{ \& } w[|w|-3] = 1\}$  (numbering from 0)

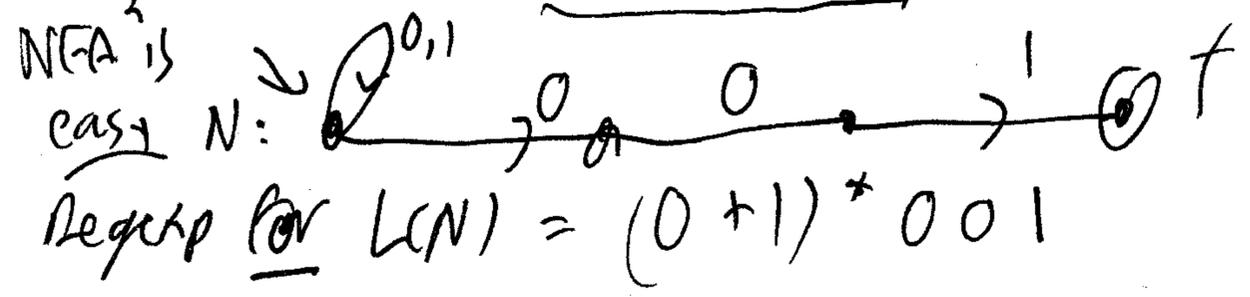


$L_1 = \{w : w \text{ begins with } 001\}$

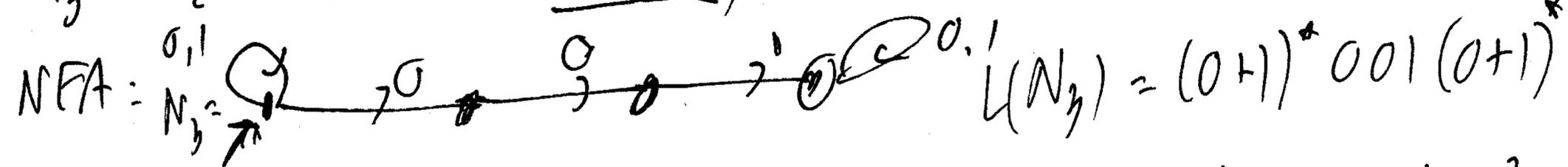


set-theoretic UNIX  
 $001(0+1)^* 001(0+1)^*$   
 $001(.^*) 001[01]^*$   
 any chars  
 any char in this list.  
 UNIX uses plus to mean "one or more" (without superscript)

$L_2 = \{w : w \text{ ends in } 001\}$



$L_3 = \{w : w \text{ has the substring } 001 \text{ somewhere inside it.}\}$



$L_4 = \{w : w \text{ has } 001 \text{ somewhere inside, not necessarily consecutive, but following in that order.}\}$

$w = (\text{Arbitrary}) 0 (\text{Arbitrary}) 0 (\text{Arbitrary}) 1 (\text{Arbitrary})$   
 Regexp  $R_4 = (0+1)^* 0 (0+1)^* 0 (0+1)^* 1 (0+1)^*$  Correct - but can we be more economical?  
 Alternative  $\equiv 1^* 0 1^* 0 0^* 1 (0+1)^*$

Defn: Two regular expressions are equivalent if they denote the same language.  
 In notation:  $R_4 \equiv R_5$  means  $L(R_4) = L(R_5)$ . We can write " $R_4 = R_5$ " when intent is clear.

We can also get equivalence by abbreviations.  $00^* \equiv 0^+$   
 superscript plus means "one or more":  $0^+$   
 $R_5 = 1^* 0 1^* 0^+ 1 (0+1)^*$   $\equiv 00^+$

